

Best Practices Score  
Adak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Emmitt Raymond</i><br>Certification Level: <i>ST</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Emmitt Raymond holds the correct level of certifications. There is no backup operator identified. | Emmitt Raymond has the required CEUs to renew in 2024. A backup operator needs to be identified and needs take and pass the ST exam. Please see the enclosed flyer with more information about certification.                        | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Layton Lockett attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                 | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                    | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                             | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                    | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                  | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                       | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                         | 50                                                                                                                                                                                                                                   |                                                           |  |

Best Practices Score  
Akhiok  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                            | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 1<br>Primary Operator: <i>Dan McCoy</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Glyndaril White</i><br>Certification Level: <i>WT P</i><br><br>Dan McCoy holds the correct level of certification.<br>Glyndaril White holds certification but not at the correct level. | Dan McCoy needs 3.0 CEUs by 12/31/2025 to renew in 2025. Glyndaril White's certificate expired on 12/31/2022 and has the CEUs needed to renew. He may be eligible for upgrade to WT 1. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                   | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Dan McCoy attended Financial Management for Rural Utilities training on 10/25/2021.                                                                                                                                                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                             | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: November 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                         | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                            |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                       | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                   | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                              | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                        | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                 |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                   | 47                                                                                                                                                                                                                                                              |                                                           |  |

**Best Practices Score**  
**Akiachak**  
**Spring 2023**

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Henry Pasitnak</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Matthew Wassilie</i><br>Certification Level: <i>Operator holds no current certification</i><br>Henry Pasitnak holds certification but not at the correct level. Matthew Wassilie holds no certification. | Henry Pasitnak needs 3.0 CEUs by 12/31/23 to renew in 2023 and needs to take and pass the WT 2 exam. Matthew Wassilie needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Rozella Pasitnak attended Elected Officials Management for Rural Utilities training on 10/31/2022                                                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                  | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                          |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments; however, the subsidy is not clearly identified and documented in the budget.                                                                                                                        | The subsidy needs to be clearly identified and documented in the budget. Contact your assigned LGS for advice and assistance.                                                                                                        | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                     |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/03/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                                          | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                       | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                         | 53                                                                                                                                                                                                                                   |                                                           |  |

Best Practices Score  
Akiak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score                                                                                                                                                                                                                                                                                      | Explanation of Score                                                                                                                                                                                                                                                                    | How to Improve Score                                      | Contact |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                | 10            | 3        | System Classification: Water Treatment 2<br>Primary Operator: <i>Nelson Owen</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Calvin Charles</i><br>Certification Level: <i>WT 1</i><br><br>Nelson Owen and Calvin Charles hold certifications but not at the correct level. | Nelson Owen will need 3.0 CEUs by 12/31/24 to renew in 2024 and needs to take and pass the WT 2 exam. Calvin Charles will need 3.0 CEUs by 12/31/24 to renew in 2024 and needs to take and pass the WT 2 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  | 7             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 | 5             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 | 3             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           | 0             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  | 25            | 25       | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                  | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |         |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       | 15            |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     | 0             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      | 10            | 5        | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                           | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |         |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               | 5             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5             | 5        | Alfreda Napoka attended Clerk's Management for Rural Utilities training on 9/16/2021.                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                     | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |         |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    | 5             | 0        | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                    |                                                           |         |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    | 2             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             | 0             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             | 15            | 10       | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                           | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              | 13            |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                | 10            |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      | 0             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     | 20            | 0        | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                          |                                                           |         |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   | 15            |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          | 5             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            | 0             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    | 5             | 0        | A Department of Labor and Workforce Development database query on 01/03/23 indicated the utility owner does not have coverage.                                                                                                                                                             | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                                                                        |                                                           |         |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                | 2             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  | 0             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              | 5             | 5        | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                 | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                 |                                                           |         |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  | 2             |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |                                                           |         |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE                                                                                                                                                                                                                                                                                | 53                                                                                                                                                                                                                                                                                      |                                                           |         |

Best Practices Score  
Akutan  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Matthew Bereskin</i><br>Certification Level: <i>ST</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Matthew Bereskin holds the correct level of certification. There is no backup operator identified. | Matthew Bereskin needs 1.0 CEU by 12/31/2025 to renew in 2025. A backup operator needs to be identified and needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                           | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                            | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 47 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Farha Karim attended Elected Officials Management for Rural Utilities training on 11/29/2021.                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, September 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                         | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                       | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                        | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                 | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 7                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                            | 67                                                                                                                                                                                                                                                                 |                                                           |

Best Practices Score  
Alakanuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                              | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Cyprian Augline</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Dan Augline</i><br>Certification Level: <i>Operator holds no current certification</i><br>Cyprian Augline, Dan Augline, Jason Joe, and Coco Kameroff hold no certifications. | Cyprian Augline, Dan Augline, Jason Joe, and Coco Kameroff need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                          | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                  | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 76 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.     | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Kendra James attended Clerk's Management for Rural Utilities training on 2/18/2019.                                                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                               | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                  | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                       |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                            | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance. |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                             | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                      | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 50                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                           |

Best Practices Score  
Alatna  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Lorraine Solomon attended QuickBooks for Rural Utilities training on 12/16/2019                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Andy Durny<br>DCRA RUBA Program<br>451-2744           |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/09/23.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                       |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 50                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |  |

Best Practices Score  
Aleknagik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Virginia Andrews attended Clerk's Management for Rural Utilities training on 2/24/2022.                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: June, September, October 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                      | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted a balanced and realistic budget, but not enough meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                  | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                            | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                   |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 25                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 85                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Allakaket  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>Floyd Saunders</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Clinton Bergman</i><br>Certification Level: <i>Operator holds no current certification</i><br>Floyd Saunders, Clinton Bergman, and Joseph Williams hold no certifications. | Floyd Saunders, Clinton Bergman, and Joseph Williams need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                   | ADEC Operator Certification Program<br>465-1139       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 15 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Adrian Bergman attended Clerk's Management for Rural Utilities training on 12/12/2022                                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Andy Durny<br>DCRA RUBA Program<br>451-2744           |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: June, July 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                                  | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/05/23 but the utility owner did not have coverage from 09/09/22 to 10/20/22.                                                                                                                                     | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                       |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                            | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                       |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 22                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Ambler  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>Patrick Custer</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Jerrold Griepentrog</i><br>Certification Level: <i>Small Treated</i><br><br>Patrick Custer and Jerrold Griepentrog hold certification at the correct level. Woodrow Greist and John Williams hold no certifications. | Patrick Custer and Jerrold Griepentrog have the required CEUs to renew in 2024. Woodrow Greist and John Williams need to take and pass the SU exam. Please see the enclosed flyer with more information about certification.         | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Bruce Nelson<br>MHC RMW<br>442-7042                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Pauline Cleveland attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes. The contractor has adopted an overall realistic and balanced budget.                                                                                                                         | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                        | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 30                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                            | 90                                                                                                                                                                                                                                   |                                                         |  |

Best Practices Score  
Anaktuvak Pass  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>John Ahgook</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Edward York</i><br>Certification Level: <i>WT 3</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                               | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                             | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                               | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                                  | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 42                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                          |

Best Practices Score  
Anderson  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                         |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | John Johnson<br>ADEC RMW<br>269-7605            |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | ADEC Drinking Water Program                     |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Trista Jennings attended Clerk's Management for Rural Utilities training on 9/14/2020.                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Brendan Smyth<br>DCRA RUBA Program<br>451-2744  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                 |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                 |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                        | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                 |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| CIP O&M Score                                                                                                         |                                 | 5                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 65    |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |

Best Practices Score  
Angoon  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 0     | System Classification: Water Treatment 2<br>Primary Operator: <i>Peter Jack Jr.</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Joseph Thompson</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Peter Jack Jr. and Joseph Thompson hold no current certifications. | Peter Jack Jr. and Joseph Thompson both need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Matthew Russell<br>ADEC RMW<br>269-3067                  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 35 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | James Latimer<br>ADEC Drinking Water Program<br>269-7521 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                                | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Iura Leahu<br>DCRA RUBA Program<br>465-4814              |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Minutes were provided for the following months during this reporting period: July, August 2022. The water operator report was included, but not enough meeting minutes were submitted to RUBA for review.                                                                                                                                              | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 30    |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                          |

Best Practices Score  
Aniak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                | Contact                                            |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                 | ADEC Operator Certification Program<br>465-1139    |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.              | Bruce Werba<br>YKHC RMW<br>545-5063                |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                     | ADEC Drinking Water Program                        |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Lenore Kameroff attended Financial Management for Rural Utilities training on 10/6/2022.                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                 | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004 |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was consistently included in the meeting minutes.                                                                          | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                         |                                                    |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                       | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes. | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                              |                                                    |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                           |                                                    |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                             |                                                    |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                     |                                                    |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                | 100                                                                                                                                                                 |                                                    |

Best Practices Score  
Anvik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 5     | System Classification: Small Treated<br>Primary Operator: <i>Clifford Jerue</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Clifford Jerue is certified at the correct level. There is no backup operator identified. | Clifford Jerue has the required CEUs to renew in 2024. A backup operator needs to be identified and needs take and pass the ST exam. Please see the enclosed flyer with more information about certification.                        | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                            | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Werba<br>YKHC RMW<br>545-5063                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 23 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                    | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                          | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 35    |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Arctic Village  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 5     | System Classification: Water Treatment 2<br>Primary Operator: <i>George Pitka-Yatlin</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Brewster Johnson</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>George Pitka-Yatlin is certified at the correct level.<br>Brewster Johnson holds no certification. | George Pitka-Yatlin needs an additional 2.44 CEUs by 12/31/23 to renew in 2023. Brewster Johnson needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                      | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                  | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 7 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                                   | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                      | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                      | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                   | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 35    |                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Atka  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                            | Contact                                                   |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Michael Swinney</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Jonathan Zaochney</i><br>Certification Level: <i>Operator holds no current certification</i><br>Michael Swinney holds certification but not at the correct level. Jonathan Zaochney holds no certifications. | Jonathan Zaochney and Michael Swinney both need to take and pass the WT 1 exam. Michael Swinney has the required CEUs to renew in 2024. Please see the enclosed flyer with more information about certification.                                | ADEC Operator Certification Program<br>465-1139           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                              | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                          | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jennifer Kost-Dirks attended Financial Management for Rural Utilities training on 12/9/2019.                                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                             |                                                           |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                     |                                                           |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                                                    | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                          | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports indicate the utility owner is collecting revenue showing evidence of a collection policy, but no evidence of utility expenditures.                                                                                                                                                                                                              | Additional points can be earned by creating a separate enterprise account for the utility for both income and expenses. This will allow for better tracking of the utility's revenues and expenses. Contact your LGS for advice and assistance. |                                                           |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                       |                                                           |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                            | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                         |                                                           |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                 |                                                           |
| CIP O&M Score                                                                      |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 58                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                 |                                                           |

Best Practices Score  
Atmautluak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 0     | System Classification: Water Treatment 1<br>Primary Operator: <i>John Mojin</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Henry Alexie, Jr.</i><br>Certification Level: <i>Operator holds no current certification</i><br>John Mojin and Henry Alexie, Jr. hold no certifications. | John Mojin and Henry Alexie, Jr. need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                       | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bob White<br>YKHC RMW<br>543-6428                         |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 25 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Minutes were provided for the following months during this reporting period: August, October, November 2022. The water operator report was included for one month, but not enough meeting minutes were submitted to RUBA for review.                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 0     | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                         | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 15    |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Atqasuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>William Nungasak</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Paul Corkrean</i><br>Certification Level: <i>WT 3</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                      | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                        | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 42                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                    |                                                          |

Best Practices Score  
Barrow  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                 |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>Thomas Drake</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Mark Ahsoak</i><br>Certification Level: <i>WT 3</i><br>James Murphy and Thomas Drake both hold certifications at the correct level. | Thomas Drake needs 3.0 CEUs by 12/31/23 to renew in 2023.<br>Mark Ahsoak needs 3.0 CEUs by 12/31/2024 to renew in 2024.<br>Please see the enclosed flyer with more information about certification.                                                                | ADEC Operator Certification Program<br>465-1139         |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                         |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                             |                                                                                                                                                                                                                                                                    | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Andy Durny<br>DCRA RUBA Program<br>269-4132             |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                        | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      |                                                         |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                        | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                         |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                         |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                          | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                  | 42                                                                                                                                                                                                                                                                 |                                                         |  |

Best Practices Score  
Beaver  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 1<br>Primary Operator: <i>Aaron Petruska</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Alvin Winer</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Aaron Petruska holds certification at the correct level. Alvin Winer and Trenton Adams hold no certifications. | Aaron Petruska has the required CEUs to renew now. Alvin Winer and Trenton Adams need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                       | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                             | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 4 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Anna Joseph attended Personnel Management for Rural Utilities training on 4/6/2021                                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                        | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                        | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                        | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/06/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                                              | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                     | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                             | 30                                                                                                                                                                                                                                   |                                                         |  |

Best Practices Score  
Bethel  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                                                                                                         | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 7     | System Classification: Water Treatment 2<br>Primary Operator: <i>William Stuart</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Kyle Mortenson</i><br>Certification Level: <i>WT 1</i><br>William Stuart holds certification at the correct level. Kyle Mortenson and John Michaels hold certifications but not at the correct level. William Arnold, Gary Watson, and Corbin Ford hold no certifications. | William Stuart has the required CEUs to renew in 2024. Kyle Mortenson will need 3.0 CEUs by 12/31/2024 to renew in 2024 and needs to take and pass the WT 2 exam. William Arnold, Gary Watson, and Corbin Ford need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                                                                  | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                       | Bob White<br>YKHC RMW<br>543-6428                         |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 0     | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Xavier Mason attended Operations Management for Rural Utilities training on 1/20/2022.                                                                                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                          | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 2     | Minutes were provided for the following months during this reporting period: June, July, September, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                                                                        | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                           |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 0     | The utility owner's overall budget and amendments only listed expenditures; no revenues were provided.                                                                                                                                                                                                                                                                                                                    | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                                                            | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 5     | The Fiscal Year 2023 adopted budget provided only expenditures. RUBA was unable to determine if revenues surpassed expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                                                    | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                                                         | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 49    |                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                              |                                                           |

Best Practices Score  
Birch Creek  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No operator required                                                                                            | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             | Primary Operator: <i>N/A</i><br>Certification Level: <i>N/A</i>                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             | Backup Operator: <i>N/A</i><br>Certification Level: <i>N/A</i>                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             | No certified operator required                                                                                                         |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266            |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.    |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Victoria Balaam attended Financial Management for Rural Utilities training on 4/8/2019                                                 | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744            |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23. | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 45                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Brevig Mission  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                               | How to Improve Score                                                                                                                                                                                                                                            | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Jonathan Weyanna</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Eddy Tocktoo</i><br>Certification Level: <i>N/A</i><br><br>Jonathan Weyanna holds certification at the correct level. Eddy Tocktoo and Nathan Tocktoo hold no certification. | Jonathan Weyanna's ST cert expired 12/31/22 without the required CEUs and will need to retake and pass the ST exam. Eddy Tocktoo and Nathan Tocktoo need to take and pass the ST exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                    | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                | Jalen Katchatag<br>NSHC RMW<br>434-6904                  |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                   | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2170 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                            | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                          | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                               | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                            |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                               | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                               |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                               | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                  |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 0           | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                     | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                                                |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                  | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                       |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                 |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 20                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                 |                                                          |  |

Best Practices Score  
Buckland  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 5           | System Classification: Water Treatment 2<br>Primary Operator: <i>Evans Thomas Jr.</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Brandon Henry</i><br>Certification Level: <i>Operator holds no current certification</i><br>Evans Thomas Jr. holds certification at the correct level. Brandon Henry and Darrell Kirk hold no certification. | Evans Thomas Jr. has the required CEUs to renew now. Brandon Henry and Darrell Kirk need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                    | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                      | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Bruce Nelson<br>MHC RMW<br>442-7042                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 8 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Krystal Hadley attended Personnel Management for Rural Utilities training on 4/15/2021.                                                                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 0           | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                                            | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                          | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 0           | A Department of Labor and Workforce Development database query on 12/29/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                                                | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                               | 35                                                                                                                                                                                                                                   |                                                         |  |

Best Practices Score  
Cantwell  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | John Johnson<br>RMW<br>269-7605                           |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Brendan Smyth<br>DCRA RUBA Program<br>451-2744            |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                           |  |

Best Practices Score  
Chalkyitsik  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Logan Fields</i><br>Certification Level: <i>Operator holds no certification</i><br>Backup Operator: <i>Everett Herbert</i><br>Certification Level: <i>Operator holds no certifications</i><br><br>Logan Fields, Everette Herbert, and Larry Henry hold no certifications. | Logan Fields and Everette Herbert need to take and pass the WT 1 exam. Larry Henry has passed the WT 2 exam and needs to apply for certification. Please see the enclosed flyer with more information about certification.           | ADEC Operator Certification Program<br>465-1139       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                            | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                    | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                       | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23 but the utility owner did not have coverage prior to 11/12/21.                                                                                                                                          | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                       |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                          | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                       |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                       |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 17                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |

Best Practices Score  
Chefornak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                             | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Untreated<br>Primary Operator: <i>Robert Jimmy</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Byron Lincoln</i><br>Certification Level: <i>Operator holds no current certification</i><br>Robert Jimmy is certified at the correct level. Byron Lincoln, Jimmy Jimmy, and Warren Jimmy hold no certifications. | Robert Jimmy needs 1.0 CEU by 12/31/2023 to renew in 2023. Byron Lincoln, Jimmy Jimmy, and Warren Jimmy need to take and pass the Small Untreated exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                             | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                           | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                    | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Mathew Magdalene attended QuickBooks for Rural Utilities training on 12/16/2019                                                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                              | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                      | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, the subsidy is clearly identified, and monthly financial reports are included in the meeting minutes.                                                                                                            | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                              |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                             | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                 |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/03/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                                                       | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                    | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                        |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                  |                                                           |
| CIP O&M Score                                                                                                         |                                 | 5                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 65                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                  |                                                           |

Best Practices Score  
Chenega  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                                                                                                                             | Contact                                                     |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Joseph Cross</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Christopher Meyer</i><br>Certification Level: <i>Small Treated</i><br><br>Joseph Cross and Christopher Meyer hold certifications but not at the correct level. | Joseph Cross has the CEUs to renew now; however, he passed the WT 2 exam and needs to apply for WT 2 certification. Christopher Meyer has the required CEUs to renew in 2023, and needs to take and pass the WT 2 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139             |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                    | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                           | Matthew Russell<br>ADEC RMW<br>269-3067                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 25 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                    | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Megan Green attended Financial Management for Rural Utilities training on 10/6/2022.                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                              | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: August, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                             | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                      |                                                             |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                 | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                    | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                    | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                        |                                                             |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                  | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                          |                                                             |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                  |                                                             |  |
| CIP O&M Score                                                                                                         |                                 | 3                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                             | 63                                                                                                                                                                                                                                                                                               |                                                             |  |

Best Practices Score  
Chevak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                                                                                                       | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>John Atchak</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Patrick Patrick</i><br>Certification Level: <i>WT 1</i><br><br>John Atchak and Patrick Patrick are certified at the correct level. Jack Wilde and Clinton Slats hold certifications but not at the correct level. | John Atchak needs an additional 0.5 CEUs by 12/31/23 to renew in 2023. Patrick Patrick needs 3.0 CEUs by 12/31/23 to renew in 2023. Jack Wilde and Clinton Slats have the required CEUs to renew in 2024 and need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                               | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                           | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 4 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                              | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Cecelia Atchak attended QuickBooks for Rural Utilities training on 12/17/2018.                                                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                        | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                       |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The contracted managing entity has adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                              | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                           | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                       | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                           |
| CIP O&M Score                                                                                                         |                                 | 10                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                               | 70                                                                                                                                                                                                                                                                                                                         |                                                           |

Best Practices Score  
Chignik Lagoon  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: Small Treated<br>Primary Operator: <i>Oscar Mills</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Daniel Grunnert</i><br>Certification Level: <i>Small Treated</i><br>Oscar Mills, Daniel Grunert, and Edgar Smith all hold the correct level of certification. Jared Gregorio, Shanda Billadeau, and Zachery McCormick hold no certifications. | Oscar Mills, Daniel Grunert, and Edgar Smith all have the required CEUs to renew now. Jared Gregorio, Shanda Billadeau, and Zachery McCormick need to take and pass the ST exam. Please see the enclosed flyer with more information about certification.          | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                             | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 0     | The utility had 14 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Sabrina Anderson attended QuickBooks for Rural Utilities training on 9/26/2022.                                                                                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 2     | Minutes were provided for the following months during this reporting period: June, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                                            | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget. The budget separates utility income and expenses, but monthly financial reports were not consistently documented in the meeting minutes.                                                                                                                                                                               | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 15    | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                                                            | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                              | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 12                                                                                                                                                                                                           | SDS O&M Score |  | TOTAL SCORE |       | 72                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Chignik Lake  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Small Untreated<br>Primary Operator: <i>Jacob Kalmakoff</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Miranda Lind</i><br>Certification Level: <i>Operator holds no certification</i><br><br>Jacob Kalmakoff and Miranda Lind hold no certifications. | Jacob Kalmakoff and Miranda Lind need to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                                                                                         | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Margrette Kosbruck attended QuickBooks for Rural Utilities training on 3/2/2020.                                                                                                                                                                                                                                                 | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                             | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The contracted managing entity has adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                         | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                              | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 12/31/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                   | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                      | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 55                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Chignik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Robert Carpenter</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>James Anderson</i><br>Certification Level: <i>WT 1</i><br>Robert Carpenter holds no certification. James Anderson holds certifications but not at the correct level. | Robert Carpenter needs to take and pass the WT 1 exam. James Anderson has the required CEUs to renew in 2023, and needs to take and pass the WT 2 exam. Please see the enclosed flyer with more information about certification.                                   | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                 | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                             | Kenny Parker<br>BBAHC RMW<br>842-9624                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Dannica Anderson attended Elected Officials Management for Rural Utilities training on 11/29/2021.                                                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was included but the minutes were in draft format only.                                                                                                                                    | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                                       | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                             | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                 | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                        | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                          | 50                                                                                                                                                                                                                                                                 |                                                           |  |

Best Practices Score  
Chistochina  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Agnes Denny attended Clerk's Management for Rural Utilities training on 2/24/2020.                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744            |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    | Brendan Smyth<br>DCRA RUBA Program<br>451-2744            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                        | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 45    |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Chuathbaluk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: Small Treated<br>Primary Operator: <i>William Nesbit</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Robert Golley</i><br>Certification Level: <i>Small Treated</i><br><br>William Nesbit and Robert Golley are certified at the correct level. | William Nesbit's certificate expired on 1/1/2022 but had the required CEUs to renew and should renew now. Robert Golley has the required CEUs to renew now. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Werba<br>YKHC RMW<br>545-5063                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 0     | The utility had 12 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Allison Phillips attended Clerk's Management for Rural Utilities training on 11/12/2019.                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 0     | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                             | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 40    |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Circle  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Dennis Carroll</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Lawrence Crow</i><br>Certification Level: <i>Operator holds no current certification</i><br>Dennis Carroll holds the correct level of certification. Lawrence Crow holds no current certifications. | Dennis Carroll needs 1.0 CEU by 12/31/2025 to renew in 2025. Lawrence Crow needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                              | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Antoinette Wiehl attended Financial Management for Rural Utilities training on 3/11/2019                                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                    | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The utility owner has not adopted an overall realistic and balanced budget.                                                                                                                                                                                                                                                                             | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is collecting sufficient revenue to cover expenses. The utility is subsidized but no overall budget was provided to determine if there are sufficient funds for the subsidy; a fee schedule or collection policy is on f                                                                                         | The utility needs to provide an adopted, balanced, and realistic budget that clearly identifies the subsidy. Contact your assigned LGS for advice and assistance.                                                                    |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                                  | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                              | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 50                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Clark's Point  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 5     | System Classification: Small Untreated<br>Primary Operator: <i>Freddie Taylor</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Freddie Taylor holds the correct level of certification. There is no backup operator identified. | Freddie Taylor has the required CEUs to renew in 2024. A backup operator needs to be identified and needs to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                                                   | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                     | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 5     | The utility had 4 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                             | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 2     | Minutes were provided for the following months during this reporting period: June, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                 | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget. The budget separates utility income and expenses, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                   | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                              | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                   | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 42    |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Coffman Cove  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                            | Contact                                                     |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 2<br>Primary Operator: <i>Ronald Rusher</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Allan Thompson</i><br>Certification Level: <i>Operator holds no current certification</i><br>Ronald Rusher holds the correct level of certification. Allan Thompson and William Fitzpatrick hold no certification. | Ronald Rusher needs 3.0 CEUs by 12/31/24 to renew in 2024. Allan Thompson and William Fitzpatrick need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139             |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                         | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                          | Tanner Cote<br>ADEC RMW<br>269-7609                         |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 4 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.   | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Terra Green attended QuickBooks for Rural Utilities training on 12/14/2020.                                                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                             |                                                             |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                  | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                     |                                                             |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate financial reports have been submitted and documented in the meeting minutes.                                                                                                                    | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                             | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                              | Full points have been awarded. Keep up the great work.                                                                                                                                                          |                                                             |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                       | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                         |                                                             |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                 |                                                             |  |
| CIP O&M Score                                                                                                         |                                 | 30                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                  | 90                                                                                                                                                                                                              |                                                             |  |

Best Practices Score  
Cold Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                  | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Kurt Uttecht</i><br>Certification Level: <i>WT P</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Kurt Uttecht holds the correct level of certification. There is no backup operator identified. | Kurt Uttecht has the CEUs to renew in 2024. A backup operator needs to be identified and needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.      | Matthew Russell<br>ADEC RMW<br>269-3067                   |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                 |                                                                                                                                                                                                       | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Lorie Pierce attended Financial Management for Rural Utilities training on 10/25/2021.                                                                                                                                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                   |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: July, August, September, October 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                      | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                           |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 13          | The utility owner has adopted an overall realistic and balanced budget. The budget does not separate utility income and expenses, but accurate monthly financial reports have been documented in the meeting minutes.                                                                                                | Additional points can be earned by creating a separate enterprise account for the utility. This will allow for better tracking of the utility's revenues and expenses.                                | Jed Cox<br>DCRA RUBA Program<br>269-4614                  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                  | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                    |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                               |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                       |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 18                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                      | 78                                                                                                                                                                                                    |                                                           |  |

Best Practices Score  
Craig  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                                                                                                                     | Contact                                                     |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 10    | System Classification: Water Treatment 2<br>Primary Operator: <i>Leslie Nelson</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Andrew Lilloren</i><br>Certification Level: <i>WT 2</i><br><br>Leslie Nelson, Andrew Lilloren, David Nelson, and Tony Nelson hold certification at the correct level. Jose Cervera holds no water certifications. | Leslie Nelson needs 3.0 CEUs by 12/31/24 to renew in 2024. Andrew Lilloren has the CEUs to renew in 2024. Tony Nelson needs 3.0 CEUs by 12/31/24 to renew in 2024. David Nelson needs 3.0 CEUs by 12/31/25 to renew in 2025. Jose Cervera needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139             |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                                         | John Johnson<br>ADEC RMW<br>269-7605                        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                          | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                                         | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                                                                                                                   | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 5     | Minutes were provided for the following months during this reporting period: June, July, August, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                            | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                              |                                                             |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments; however, the subsidy is not clearly identified and documented in the budget.                                                                                                                                | The subsidy needs to be clearly identified and documented in the budget. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                                                            | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 5     | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                        | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                                         |                                                             |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                |                                                             |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                               | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                                                |                                                             |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 60    |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                          |                                                             |

Best Practices Score  
Crooked Creek  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                                                          | Contact                                                   |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Albert Willis</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Lewis Phillips</i><br>Certification Level: <i>Small Treated</i><br>Lewis Phillips holds certification but not at the correct level. Albert Willis, George Sakar, Kyle Inman, Michael Pepperling, and Dominick Zacar hold no certifications. | Lewis Phillips has the required CEUs to renew in 2024 and needs to take and pass the WT 1 exam. Albert Willis, George Sakar, Kyle Inman, Michael Pepperling, and Dominick Zacar need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                              | Bruce Werba<br>YKHC RMW<br>545-5063                       |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 28 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                 | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Prisella Sakar attended Elected Officials Management for Rural Utilities training on 10/31/2022                                                                                                                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                           |                                                           |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                                                         | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                          |                                                           |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                             | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                |                                                           |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                     |                                                           |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                       |                                                           |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                               |                                                           |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                                                              | 33                                                                                                                                                                                                                                                                                            |                                                           |  |

Best Practices Score  
Deering  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Fletcher Gregg</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Bruce Barr</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Fletcher Gregg holds certification but not at the correct level. Bruce Barr holds no certification. | Fletcher Gregg needs 1.0 CEU by 12/31/23 to renew in 2023. Fletcher Gregg and Bruce Barr need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                               | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                 | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Bruce Nelson<br>MHC RMW<br>442-7042                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Robert Moto attended Financial Management for Rural Utilities training on 3/18/2019.                                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The contracted managing entity has adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                      | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                                              | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                          | 73                                                                                                                                                                                                                                   |                                                         |  |

Best Practices Score  
Dillingham  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>Herman Shade</i><br>Certification Level: <i>Operator holds no certification</i><br>Backup Operator: <i>William Noonkesser</i><br>Certification Level: <i>Operator holds no certification</i><br><br>Herman Shade, William Noonkesser, and Christopher Maines hold no certifications. | Herman Shade, William Noonkesser, and Christopher Maines need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                             | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Kenny Parker<br>BBAHC RMW<br>842-9624                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 5           | The utility had 3 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Renee Roque attended QuickBooks for Rural Utilities training on 5/13/2019.                                                                                                                                                                                                                                                                            | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 2           | Minutes were provided for the following months during this reporting period: June, August, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                         | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget. The budget separates utility income and expenses, but monthly financial reports have not been documented in the meeting minutes.                                                                                                                                              | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                   | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                     | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                    |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                       | 42                                                                                                                                                                                                                                                                 |                                                           |  |

Best Practices Score  
Diomedes  
Spring 2023

| Category                                                                                                                                    |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                               | Contact                                               |  |
|---------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical                                                                                                                                   | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Robert Larsen</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Robert Larsen holds certification at the correct level. There is no backup operator identified. | Robert Larsen has the CEUs to renew in 2024. A backup operator needs to be identified and needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                                             | ADEC Operator Certification Program<br>465-1139       |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Jalen Katchatag<br>NSHC RMW<br>434-6904               |  |
|                                                                                                                                             |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|                                                                                                                                             |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                                          |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
| Managerial                                                                                                                                  | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Heather Ivanoff attended QuickBooks for Rural Utilities training on 12/14/2020                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383       |  |
|                                                                                                                                             | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                           | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |  |
|                                                                                                                                             |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
| Financial                                                                                                                                   | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                            | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                  |                                                       |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                            | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                       |  |
|                                                                                                                                             |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                       |  |
|                                                                                                                                             |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
|                                                                                                                                             | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                               | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                       |  |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations |                                 | 2                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                       |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                       |  |
| CIP O&M Score                                                                                                                               |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                 | 32                                                                                                                                                                                                                                                                 |                                                       |  |

Best Practices Score  
Dot Lake Village  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267         |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                        | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Brendan Smyth<br>DCRA RUBA Program<br>451-2744            |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23 but the utility owner did not have coverage prior to 10/18/21.                                                                              | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                           |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 42                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Eagle City  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                               | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 5     | System Classification: Water Treatment 1<br>Primary Operator: <i>Joel Helmer</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Steve Robbins</i><br>Certification Level: <i>Operator holds no current certification</i> | Joel Helmer needs 3.0 CEUs by 12/31/23 to renew in 2023. Steve Robbins needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                              | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                 |                                                                                                                                                                                                                                                                    | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                              | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                             | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 2     | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                  | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                   | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                             | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | The utility owner does not charge fees for services.                                                                                                                                                                                 | Provide RUBA with the utility's fee schedule and collection policy. Contact your assigned LGS for advice and assistance.                                                                                                                                           |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 42    |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                       |

Best Practices Score  
Eagle Village  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                | How to Improve Score                                                                                   | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>N/A</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                             |                                                                                                        | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                 |                                                                                                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                  | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                  | N/A                                                                                                    |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                  | N/A                                                                                                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                  | N/A                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                  | N/A                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                  | N/A                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                     |                                                                                                        |                                                       |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 100                                                                                                                                                                                                                                                 |                                                                                                        |                                                       |

Best Practices Score  
Eek  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 0     | System Classification: Water Treatment 2<br>Primary Operator: <i>John Wharton</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i> | John Wharton needs to take and pass the WT 1 exam. A backup operator needs to be identified and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                       | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bob White<br>YKHC RMW<br>543-6428                         |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 18 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                               | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                  | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but monthly financial reports have not been documented in the meeting minutes.                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                  | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 0     | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                        | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                               | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 25    |                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Egegik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 0     | System Classification: Water Treatment 1<br>Primary Operator: <i>Mike Gravelle</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Bill Yost</i><br>Certification Level: <i>Operator holds no certification</i><br><br>Mike Gravelle and Bill Yost hold no certifications. | Mike Gravelle and Bill Yost need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                            | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 5     | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Lena Gravelle attended Clerk's Management for Rural Utilities training on 9/17/2018.                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                     | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                     | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                               | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 35    |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Ekwok  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                         |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Kenny Parker<br>BBAHC RMW<br>842-9624           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | ADEC Drinking Water Program                     |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                        | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               |                                                 |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget. The budget separates utility income and expenses, but meeting minutes were not provided to RUBA during this reporting period.                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Melody Nibeck<br>DCRA RUBA Program<br>269-5939  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                 |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                 |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                 |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 55    |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                 |

Best Practices Score  
Elfin Cove  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                              | Contact                                                     |  |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                               | ADEC Operator Certification Program<br>465-1139             |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                  | John Johnson<br>ADEC RMW<br>269-7605                        |  |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                                                                                                                                   | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |  |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                      | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                            | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |  |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 5           | Minutes were provided for the following months during this reporting period: July, August 2022. The utility operator report was consistently included in the meeting minutes.                                                                                                | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                       |                                                             |  |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance. |                                                             |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                    | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                    |                                                             |  |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                         |                                                             |  |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                           |                                                             |  |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                             |  |
| CIP O&M Score                                                                      |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 50                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                   |                                                             |  |

Best Practices Score  
Elim  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Marvin Takak</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Shane Saccheus</i><br>Certification Level: <i>Small Treated</i> | Marvin Takak Shane Saccheus have the required CEUs to renew in 2024. Sean Daniels needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                       | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                   | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Shyler Johnson<br>NSHC RMW<br>625-1231                |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Clarence Saccheus attended Elected Officials Management for Rural Utilities training on 10/31/2022.                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383       |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: June, July 2022. Not enough meeting minutes were submitted to RUBA for review.                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                              | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                              | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                    | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                 | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 35                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Emmonak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                                    | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Jamie Agwiak</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Emmanuel Mike</i><br>Certification Level: <i>Operator holds no current certification</i><br>Jamie Agwiak holds certification but not at the correct level. Emmanuel Mike, Archie Andrews, Alben Redfox, and Fred Hootch hold no certifications. | Jamie Agwiak will need 1.0 CEU by 12/31/2024 to renew in 2024. Jamie Agwiak, Emmanuel Mike, Archie Andrews, Alben Redfox, and Fred Hootch need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                        | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 13 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                           | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Kayla Hendrickson attended QuickBooks for Rural Utilities training on 5/16/2022.                                                                                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                     | Fred Broerman<br>DCRA RUBA Program<br>545-3531            |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                             |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 13          | The utility owner has a balanced realistic, and duly adopted budget, but it does not separate the utility's expenses in separate enterprise budget. The utility's financial reports are mentioned in four out of six sets of meeting minutes.                                                                                                                                                         | Additional points can be earned by creating a separate enterprise account for the utility. This will allow for better tracking of the utility's revenues and expenses.                                                                                  | Fred Broerman<br>DCRA RUBA Program<br>545-3531            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 5           | May through October 2022 utility financial reports indicate the utility owner is operating the utility at a loss, with insufficient revenue to cover expenses.                                                                                                                                                                                                                                        | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                        |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/11/23.                                                                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                                     | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                               |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                         |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                                       | 51                                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
False Pass  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                            | Contact                                                   |  |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Travis Hoblet</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Travis Hoblet holds no certifications. There is no backup operator identified. | Travis Hoblet needs to take and pass the WT 1 exam. A backup operator needs to be identified and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                     | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                          | Matthew Russell<br>ADEC RMW<br>269-3067                   |  |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 3 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Nikki Hoblet attended Clerk's Management for Rural Utilities training on 9/17/2018.                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                             |                                                           |  |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: August, September, October 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                    | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                     |                                                           |  |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, the subsidy is clearly identified and accurate monthly financial reports have been submitted and documented                                                                              | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                             | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                          | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                              |                                                           |  |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                       |                                                           |  |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                         |                                                           |  |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                 |                                                           |  |
| CIP O&M Score                                                                      |                                 | 20                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                              | 80                                                                                                                                                                                                              |                                                           |  |

Best Practices Score  
Fort Yukon  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                                                                                                                                            | Contact                                               |  |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Eric Tremblay</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Tristan Tremblay</i><br>Certification Level: <i>WT 1</i><br><br>Eric Tremblay holds certification at the correct level. Tristan Tremblay and Craig Fleener, Jr. hold certification but not at the correct level. | Eric Tremblay has the required CEUs to renew now. Tristan Tremblay needs 3.0 CEUs by 12/31/25 to renew in 2025, and needs to take and pass the WT 2 exam. Craig Fleener, Jr. has the required CEUs to renew in 2024 and has passed the WT 1 exam but needs to apply for certification. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139       |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                          | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |  |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                   | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Eric Tremblay attended Personnel Management for Rural Utilities training on 12/3/2018.                                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                             |                                                       |  |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: July, August, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                  | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                              |                                                       |  |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                                             | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                                                                          | Andy Durny<br>DCRA RUBA Program<br>451-2744           |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                                                          |                                                       |  |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                       |                                                       |  |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                         |                                                       |  |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                 |                                                       |  |
| CIP O&M Score                                                                      |                                 | 14                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                | 74                                                                                                                                                                                                                                                                                                                                                              |                                                       |  |

Best Practices Score  
Galena  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Contact                                               |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Dameon Stevens</i><br>Certification Level: <i>WT P</i><br>Backup Operator: <i>Nathan Fennimore</i><br>Certification Level: <i>WT P</i><br>Dameon Stevens holds certification but not at the correct level. Nathan Fennimore holds certification but not at the correct level. Parker Pitka holds no certifications. | Dameon Stevens has the required CEUs to renew now, should apply for WT 1 certification when the experience requirement is met, and needs to take and pass the WT 2 exam. Nathan Fennimore has the required CEUs to renew in 2024, should apply for WT 1 certification when the experience requirement is met, and needs to take and pass the WT 2 exam . Parker Pitka needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                                                                                                                                                                     | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267     |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 8 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                                                                                                                                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Carolyn Sam attended Clerk's Management for Rural Utilities training on 4/5/2022.                                                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                                                                                                                                  |                                                       |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                         | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                                                                                                                                          |                                                       |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but year-to-date versus budget reports have not been documented in the meeting minutes.                                                                                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                                                                                                                                                                        | Andy Durny<br>DCRA RUBA Program<br>451-2744           |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                                                  | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                                                                                                                                                                                                                                   |                                                       |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                                                                                                                                              |                                                       |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                       |  |
| CIP O&M Score |                                 | 3                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                      | 63                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                       |  |

Best Practices Score  
Gambell  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                          | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Kenneth Koozaata</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Reubin Tungiyen</i><br>Certification Level: <i>Small Treated</i><br><br>Kenneth Koozaata and Reubin Tungiyen hold certifications but not at the correct level. | Kenneth Koozaata and Reubin Tungiyen have the required CEUs to renew in 2024, and need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.               | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.              | Jalen Katchatag<br>NSHC RMW<br>434-6904               |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner. | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Curtis Silook attended QuickBooks for Rural Utilities training on 9/26/2022.                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                           | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: July, August, October, November, December 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                 | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                   |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                              | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                 | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                 | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.              |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                     |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                        | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.     |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                          | 48                                                                                                                                                                                                            |                                                       |

Best Practices Score  
Golovin  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Wayne T. Henry Sr.</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Hank Henry</i><br>Certification Level: <i>Operator holds no current certification</i><br>Wayne Henry and Hank Henry hold no certifications. | Wayne Henry and Hank Henry need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                             | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                   | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Shyler Johnson<br>NSHC RMW<br>625-1231                |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 26 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Joann Fagerstrom attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                       |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                              | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The contractor has adopted and overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Jed Cox<br>DCRA RUBA Program<br>269-4614              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                               | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                             | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                       | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 60                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Goodnews Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>Larry Small</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Lester Galila</i><br>Certification Level: <i>WT 1</i><br><br>Larry Small and Lester Galila are certified at the correct level. | Larry Small will need 3.0 CEUs by 12/31/25 to renew in 2025. Lester Galila has the required CEUs to renew in 2024. Please see the enclosed flyer with more information about certification.                                          | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Bob White<br>YKHC RMW<br>543-6428                         |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Alexie Evan attended Operations Management for Rural Utilities training on 1/20/2022.                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Minutes were provided for the following months during this reporting period: November, December 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                        | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The contracted managing entity has adopted a budget, but not enough meeting minutes were provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                        | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 0           | A Department of Labor and Workforce Development database query on 12/31/22 indicated the utility owner does not have coverage.                                                                                                                                             | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 15                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                            | 75                                                                                                                                                                                                                                   |                                                           |  |

Best Practices Score  
Grayling  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Small Treated<br>Primary Operator: <i>Ryan Painter</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Harry Gochenaver</i><br>Certification Level: <i>Operator holds no current certification</i><br>Ryan Painter and Harry Gochenaver hold no certifications. | Ryan Painter and Harry Gochenaver need to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                                                                        | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Werba<br>YKHC RMW<br>545-5063                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 61 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Tammy Maillelle attended QuickBooks for Rural Utilities training on 11/14/2022.                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                      | 40                                                                                                                                                                                                                                   |                                                           |  |

Best Practices Score  
Gulkana  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Allen Davis</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Frank Vermillion</i><br>Certification Level: <i>WT 1</i><br><br>Allen Davis holds no certifications. Frank Vermillion holds certification but not at the correct level. | Allen Davis needs to take and pass the WT 1 exam. Frank Vermillion needs 3.0 CEUs by 12/31/2023 to renew in 2023 and needs to take and pass the WT 2 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                              | Tanner Cote<br>ADEC RMW<br>269-7609                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                       | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Evaline Frank attended Financial Management for Rural Utilities training on 4/8/2019.                                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                 | Brendan Smyth<br>DCRA RUBA Program<br>451-2744          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: July, August, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                         |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                       |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                    | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                  |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                             |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                     |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 73                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                     |                                                         |  |

Best Practices Score  
Gustavus  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                   | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required. National Park Service owns/operates system. | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                                                                |                                                                                                        | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                                                                    |                                                                                                        | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | N/A attended N/A training on 12:00:00 AM.                                                                                                                                                                                                                                                                              | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                                                                     | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                                                                     | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                                                                     | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                                                                     | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                                                                     | N/A                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                        |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                        | 100                                                                                                    |                                                           |  |

Best Practices Score  
Healy Lake  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266  |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program                     |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Brendan Smyth<br>DCRA RUBA Program<br>451-2744  |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 15    | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 20    | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| CIP O&M Score                                                                      |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |  | TOTAL SCORE | 100   |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |

Best Practices Score  
Holy Cross  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: Small Treated<br>Primary Operator: <i>David Walker</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Bernard Edwards</i><br>Certification Level: <i>Small Treated</i><br><br>Bernard Edwards and David Walker are certified at the correct level. | David Walker has the required CEUs to renew now. Bernard Edwards will need 1.0 CEU by 12/31/2025 to renew in 2025. Please see enclosed flyer with more information about certification.                                              | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Werba<br>YKHC RMW<br>545-5063                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 5     | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Melinda Gregory attended QuickBooks for Rural Utilities training on 10/21/2019.                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 10    | The contractor has adopted and overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 20    | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                              | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 10                                                                                                                                                                                                           | SDS O&M Score |  | TOTAL SCORE | 70    |                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Hoonah  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                                                                             | Contact                                                   |  |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Ronny Roberts</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Matthew Gonzalez</i><br>Certification Level: <i>WT 1</i><br>Ronny Roberts is certified at the correct level.<br>Matthew Gonzalez holds certification but not at the correct level. LeRoy Williams holds no certifications. | Ronny Roberts needs an additional 2.09 CEUs by 12/31/23 to renew in 2023. Matthew Gonzalez has the required CEUs to renew now and needs to take and pass the WT 2 exam. LeRoy Williams need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                 | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                           | Matthew Russell<br>ADEC RMW<br>269-3067                   |  |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                  | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |  |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Dennis Gray attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                              |                                                           |  |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                          | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                      |                                                           |  |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate financial reports have been submitted and documented in the meeting minutes.                                                                                                                            | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                              | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                      | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                           |                                                           |  |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                        |                                                           |  |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                               | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                          |                                                           |  |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                  |                                                           |  |
| CIP O&M Score                                                                      |                                 | 37                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                          | 97                                                                                                                                                                                                                                                                                               |                                                           |  |

Best Practices Score  
Hooper Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                     | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 2<br>Primary Operator: <i>Patrick Condello</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Aloysius Olson</i><br>Certification Level: <i>Operator holds no current certification</i><br>Patrick Condello is certified at the correct level.<br>Aloysius Olson, Thomas Seton, Martin Joe, Edgar Smith, and Dennis Hinter hold no certifications. | Patrick Condello has the required CEUs to renew now. Aloysius Olson, Thomas Seton, Martin Joe, Edgar Smith, and Dennis Hinter needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                         | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                            | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Patrick Condello attended Clerk's Management for Rural Utilities training on 9/12/2022.                                                                                                                                                                                                                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                      |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                             | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                              |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been documented in the meeting minutes.                                                                                                                                                               | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                      | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is collecting revenue to cover expenses. The utility appears to be subsidized, but the subsidy is not clearly identified in the budget and financial reports; a fee schedule or collection policy is on file with RUBA.                                                                                                                                        | The utility needs to provide an adopted, balanced, and realistic budget that clearly identifies the subsidy. Contact your assigned LGS for advice and assistance.                                                                        |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                                     | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                          |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 55                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                          |                                                           |

Best Practices Score  
Hughes  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 0     | System Classification: Water Treatment 1<br>Primary Operator: <i>Arlo Beetus</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Hugh Bifelt</i><br>Certification Level: <i>Operator holds no certifications</i><br><br>Arlo Beetus and Hugh Bifelt hold no certifications. | Arlo Beetus and Hugh Bifelt need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                                                                                | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                           | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 0     | The utility had 16 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Thelma Nicholia attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Minutes were provided for the following months during this reporting period: October 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                  | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                               | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                   | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 35    |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Huslia  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                                               | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>Darrell Vent Sr.</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Conrad Vent</i><br>Certification Level: <i>WT 1</i><br><br>Darrell Vent and Conrad Vent hold certifications at the correct level. Dereck Star and Frederick Ned hold no certifications. | Darrell Vent needs 3.0 CEUs by 12/31/2024 to renew in 2024. Conrad Vent needs 3.0 CEUs by 12/31/23 to renew in 2023. Derek Starr and Frederick Ned need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.       | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Elsie Vent attended QuickBooks for Rural Utilities training on 4/12/2021.                                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                       |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                              | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                              | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>451-2744           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                      | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                             |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                               | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                       |
| CIP O&M Score                                                                                                         |                                 | 17                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                          | 77                                                                                                                                                                                                                                                                 |                                                       |

Best Practices Score  
Hydaburg  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 3     | System Classification: Water Treatment 2<br>Primary Operator: <i>Donald Bell</i><br>Certification Level: <i>WD 1</i><br>Backup Operator: <i>Wesley Minch</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Donald Bell holds certification but not at the correct level. Wesley Minch holds no certification. | Donald Bell needs 3.0 CEUs by 12/31/25 in 2025, and needs to take and pass the WT 1 exam. Wesley Minch needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                    | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                      | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Tanner Cote<br>ADEC RMW<br>269-7609                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 29 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                       | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                            | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                          | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                                        | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 33    |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Igiugig  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                        | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Dave Hostetter</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Jeff Bringham</i><br>Certification Level: <i>Small Treated</i><br>Dave Hostetter holds no certification. Jeff Bringham holds certification but not at the correct level. | Dave Hostetter needs to take and pass the WT 1 exam. Jeff Bringham has the required CEUs to renew now, has passed the WT 1 exam, and needs to apply for certification. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                      | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                             | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Ida Nelson attended Personnel Management for Rural Utilities training on 4/15/2021.                                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                         |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.        |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                       | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                           | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                              |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                          | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                   |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                             |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                            | 48                                                                                                                                                                                                                                          |                                                           |  |

Best Practices Score  
Iliamna  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                   | Contact                                            |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139    |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The community has no utility that requires maintenance.                                                                                                                                                                                                                        |                                                                                                        | John Johnson<br>ADEC RMW<br>269-7605               |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                        | ADEC Drinking Water Program                        |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549 |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                    |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 15    | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                    |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 20    | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                    |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                    |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                    |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |  | TOTAL SCORE | 100   |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                    |

Best Practices Score  
Ivanof Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | Kenny Parker<br>BBAHC RMW<br>842-9624           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program                     |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Melody Nibeck<br>DCRA RUBA Program<br>269-5939  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                 |

Best Practices Score  
Kachemak Selo  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                                                 | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Lazar Reutov</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Dionici Reutov</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Lazar Reutov holds certification at the correct level.<br>Dionici Reutov holds no certification. | Lazar Reutov needs 1.0 CEU by 12/31/24 to renew in 2024.<br>Dionici Reutov needs to take and pass the ST exam. Please see enclosed flyer with more information about certification.                                                  | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                     | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | John Johnson<br>ADEC RMW<br>269-7605                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 5           | The utility had 4 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | James Latimer<br>ADEC Drinking Water Program<br>262-3410 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Jed Cox<br>DCRA RUBA Program<br>269-4614                 |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Minutes were provided for the following months during this reporting period: October 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                            | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                 | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                   |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | The utility operates on a volunteer basis.                                                                                                                                                                                                                                                                                                          | N/A                                                                                                                                                                                                                                  |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility operates on a volunteer basis.                                                                                                                                                                                                                                                                                                          | N/A                                                                                                                                                                                                                                  |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 50                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |

Best Practices Score  
Kake  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                          | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Clifton Howard</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Clifton Howard holds certification but not at the correct level. There is no backup operator identified. | Clifton Howard needs 3.0 CEUs by 12/31/25 to renew in 2025, and needs to take and pass the WT 2 exam. A backup operator needs to be identified and needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                             | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                        | Matthew Russell<br>ADEC RMW<br>269-3067                   |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                 | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Kelsi Merry attended QuickBooks for Rural Utilities training on 12/16/2019.                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                           |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                          |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                 | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                               |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 58                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                               |                                                           |

Best Practices Score  
Kaktovik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>James Allen</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Paul Corkrean</i><br>Certification Level: <i>WT 3</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                           | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                 | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                               | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                           | 42                                                                                                                                                                                                                                                                 |                                                          |  |

Best Practices Score  
Kaltag  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 1<br>Primary Operator: <i>Patrick Madros</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Calvin McGinty</i><br>Certification Level: <i>Operator holds no current certification</i><br>Patrick Madros holds certification at the correct level. Calvin McGinty and Richard Burnham hold no certifications. | Patrick Madros has the required CEUs to renew now. Calvin McGinty and Richard Burnham need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                                                    | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                        | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                             | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jackie Nicholas attended Personnel Management for Rural Utilities training on 4/6/2021.                                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: August, September, December 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                  | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                     | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                             | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                 |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                      | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |
| CIP O&M Score                                                                                                         |                                 | 17                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 77                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                          |

Best Practices Score  
Karluk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                           | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 10    | System Classification: Small Treated<br>Primary Operator: <i>Joyce Jones</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Lawrence Movius</i><br>Certification Level: <i>Small Treated</i>                           | Joyce Jones needs 1.0 CEU by 12/31/2025 to renew in 2025. Lawrence Movius needs 1.0 CEU by 12/31/2025 to renew in 2025. Please see enclosed flyer with more information about certification.   | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                    | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                         | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                        |                                                                                                                                                                                                | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                     | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                         | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 5     | Minutes were provided for the following months during this reporting period: June, July, August, September 2022. The water operator report was consistently included in the meeting minutes.                                                | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes. | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                  | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                        | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance. |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                  | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |
| CIP O&M Score                                                                                                         |                                 | 10                                                                                                                                                                                                           |   |  | TOTAL SCORE | 70    |                                                                                                                                                                                                                                             |                                                                                                                                                                                                |                                                           |

Best Practices Score  
Kasaan  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                          | Contact                                                   |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Emun Smith</i><br>Certification Level: <i>WT P</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Emun Smith holds certification but not at the correct level. There is no backup operator identified. | Emun Smith has the required CEUs to renew now and needs to apply for WT 1 certification when eligible. A backup operator need to be identified and needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                     | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                        | Tanner Cote<br>ADEC RMW<br>269-7609                       |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                 | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Beverly Bennett attended Clerk's Management for Rural Utilities training on 2/24/2022.                                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                           |                                                           |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, December 2022. The water operator report was consistently included in the meeting minutes.                                                                                                              | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                   |                                                           |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate financial reports have been submitted and documented in the meeting minutes.                                                                                | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                           | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded annually.                                                                                                                                                                                                                                | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                        |                                                           |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 11/10/22.                                                                                                                                                                                              | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                     |                                                           |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                       |                                                           |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                               |                                                           |
| CIP O&M Score                                                                      |                                 | 23                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 83                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                               |                                                           |

Best Practices Score  
Kasigluk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                                           | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Nickefer Nicholas</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Irvin Brink, Jr.</i><br>Certification Level: <i>Small Treated</i><br><br>Nickefer Nicholas and Irvin Brink, Jr. hold certifications but not at the correct level. | Nickefer Nicholas has the required CEUs to renew now. Irvin Brink, Jr. will need 1.0 CEU by 12/31/2024 to renew in 2024. Nickefer Nicholas and Irvin Brink, Jr. both need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                               | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                  | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Charlotte Michael attended Elected Officials Management for Rural Utilities training on 10/31/2022.                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                            | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                         | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                           |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                              |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                            | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                              | 28                                                                                                                                                                                                                                                                             |                                                           |  |

Best Practices Score  
Kiana  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                                                                                      | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 7           | System Classification: Water Treatment 1<br>Primary Operator: <i>Darrell Brown</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Kevin Black</i><br>Certification Level: <i>Small Treated</i><br>Darrell Brown is certified at the correct level. Kevin Black holds certification but not at the correct level. Jonathan Westlake and Fred Luther hold no certification. | Darrell Brown needs 3.0 CEUs by 12/31/24 to renew in 2024. Kevin Black needs 1.0 CEU by 12/31/2025 to renew in 2025 and needs to take and pass the WT 1 exam. Jonathan Westlake and Fred Luther need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                              | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                    | Bruce Nelson<br>MHC RMW<br>442-7042                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 5           | The utility had 3 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                             | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Clara Stein attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                                                                                   | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                       |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | The utility owner and contractor have adopted an overall realistic and balanced budget, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                                                                                                             | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                       | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                                   | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/29/22.                                                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                 |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                   |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                           |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 32                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                       | 92                                                                                                                                                                                                                                                                                                        |                                                         |  |

Best Practices Score  
King Cove  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Rodney Calver</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Christopher Lewis</i><br>Certification Level: <i>WT 1</i><br><br>Rodney Calver holds the correct level of certification. Christopher Lewis is certified but not at the correct level. | Rodney Calver has the required CEUs to renew in 2024. Christopher Lewis needs 3.0 CEUs by 12/31/2025 to renew in 2025, and also needs to take and pass the WT 2 exam. Please see enclosed flyer with more information about certification.                         | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                     | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 3 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Cosette Bendixen attended Clerk's Management for Rural Utilities training on 9/16/2021.                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, August, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                            | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                         | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                              | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                          | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 54                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Kipnuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Phillip Anaver</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Tyrone Aliralria</i><br>Certification Level: <i>Operator holds no current certification</i><br>Phillip Anaver and Tyrone Aliralria hold no certifications. | Phillip Anaver and Tyrone Aliralria need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                                                                                                      | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                     | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                             | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 7 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Emmet Paul attended Clerk's Management for Rural Utilities training on 9/12/2022                                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: August, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                      | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                  |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/30/2023 but reported a break in coverage prior to 07/25/2022.                                                                                                                                                   | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                            | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 34                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Kivalina  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Joseph Swan</i><br>Certification Level: <i>WD P</i><br>Backup Operator: <i>Dennis Swan</i><br>Certification Level: <i>Small Treated</i><br><br>Joseph Swan and Dennis Swan hold the correct level of certification. | Joseph Swan needs 3.0 CEUs by 12/31/2024 to renew in 2024. Dennis Swan needs 1.0 CEU by 12/31/23 to renew in 2023. Please see enclosed flyer with more information about certification.                                              | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Nelson<br>MHC RMW<br>442-7042                     |  |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Marilyn Swan attended QuickBooks for Rural Utilities training on 11/14/2022                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                         |  |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Minutes were provided for the following months during this reporting period: June, July 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                      | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                  | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                              | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                   |                                                         |  |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/29/22.                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                         |  |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                         |  |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0        |             |                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                      |                                 | 5                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                  | 65                                                                                                                                                                                                                                   |                                                         |  |

Best Practices Score  
Klawock  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                                                             | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Anthony Charles</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Anthony Charles holds certification but not at the correct level. There is no backup operator identified. | Anthony Charles has the required CEUs to renew now and needs to take and pass the WT 2 exam (he is registered to take WT 2 exam). A backup operator needs to be identified and take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                           | Tanner Cote<br>ADEC RMW<br>269-7609                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                    | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Sybil Galaktianoff attended Elected Officials Management for Rural Utilities training on 11/18/2019.                                                                                                                                                                                                                                   | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                              |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                        | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted a realistic and balanced budget that identifies utility income and expenses separate from other departments; the subsidy is clearly identified; and monthly financial reports have been submitted and documented in the meeting m                                                                        | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                              | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                    | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                               |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                  |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 23                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                        | 83                                                                                                                                                                                                                                                                               |                                                           |  |

Best Practices Score  
Klukwan  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Johnnie Gamble</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Brian Willard</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Johnnie Gamble and Brian Willard hold no certification. | Johnnie Gamble and Brian Willard need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                                                                           | ADEC Operator Certification Program<br>465-1139           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                           | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Matthew Russell<br>ADEC RMW<br>269-3067                   |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 8 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                   | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                      | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                      | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 25                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Kobuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>Quentin Horner</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Quentin Horner holds no certification. There is no backup operator identified. | Quentin Horner needs to take and pass the WT 1 exam. A backup operator needs to be identified and needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                         | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                      | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Bruce Nelson<br>MHC RMW<br>442-7042                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 3 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Gina Linus attended Financial Management for Rural Utilities training on 3/18/2019.                                                                                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: June 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                         | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The contracted managing entity has adopted a budget, but not enough meeting minutes were provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                               | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                           | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 12/29/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                                | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 5                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                               | 65                                                                                                                                                                                                                                   |                                                         |  |

Best Practices Score  
Kokhanok  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                              | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Joe Woods</i><br>Certification Level: <i>WD P</i><br>Backup Operator: <i>Tyrone Madrid</i><br>Certification Level: <i>Operator holds no certifications</i>                                         | Joe Woods needs 3.0 CEUs by 12/31/2024 to renew in 2024. Tyrone Madrid and Matthew Newyaka both need to take and pass the ST exam. Please see enclosed flyer with more information about certification.           | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                        | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                            | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.     | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Sharolyn Chocknok attended QuickBooks for Rural Utilities training on 9/26/2022.                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                               |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                               | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                       |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                            | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance. | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is collecting sufficient revenue to cover expenses. The utility is subsidized but no overall budget was provided to determine if there are sufficient funds for the subsidy; a fee schedule or collection policy is on f | The utility needs to provide an adopted, balanced, and realistic budget that clearly identifies the subsidy. Contact your assigned LGS for advice and assistance.                                                 |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                         |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                      | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                   |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 55                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                   |                                                           |

Best Practices Score  
Koliganek  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                                                                                  | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>Alexie Ishnook</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Byron Johnson</i><br>Certification Level: <i>Small Treated</i><br><br>Alexie Ishnook and Byron Johnson hold the correct level of certification. Jack McCarr holds no certifications. | Alexie Ishnook holds a ST expired 12/31/2022 without meeting the CEU requirement and needs to retake and pass the SU exam. Byron Johnson has the required CEUs to renew in 2024. Jack McCarr needs to take and pass the SU exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                | Kenny Parker<br>BBAHC RMW<br>842-9624                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                         | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brianna Nelson attended QuickBooks for Rural Utilities training on 9/7/2021.                                                                                                                                                                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                  |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                       | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                                     | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                 | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                               |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                            | 55                                                                                                                                                                                                                                                                                                    |                                                           |  |

Best Practices Score  
Kongiganak  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                                                                                                    | Contact                                                   |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>John Phillip</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Paul Paul</i><br>Certification Level: <i>Small Treated</i><br><br>John Philip and Paul Paul hold certifications but not at the correct level. Jason Phillip holds no certification. | John Philip's certification expired on 12/31/2022 without meeting the CEU requirement for renewal. Paul Paul will need 1.0 CEU by 12/31/2024 to renew in 2024. John Phillip, Paul Paul, and Jason Phillip all need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                  | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                  | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 32 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                           | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Phillip Roderick attended Financial Management for Rural Utilities training on 3/11/2019                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                     | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                      | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                    |                                                           |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                      | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                                                       |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                          |                                                           |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/30/2023 but reported a break in coverage prior to 07/06/2022.                                                                                                                                                | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                                                                                                             |                                                           |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                 |                                                           |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                         |                                                           |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 40                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                         |                                                           |

Best Practices Score  
Kotlik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                                                                                                                                                            | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Wilbur Tonuchuk</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>John Tonuchuk</i><br>Certification Level: <i>Small Treated</i><br><br>Wilbur Tonuchuk, John Tonuchuk, and Ryan Prince hold certifications but not at the correct level. | Wilber Tonuchuk will need an additional 0.9 CEU by 12/31/24 to renew in 2024. John Tonuchuk's certificate expires on 12/31/2026. Ryan Prince's certificate expires on 12/31/2026. Wilbur Tonuchuk needs to take and pass the WT 2 exam. John Tonuchuk and Ryan Prince need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                                                                | Allan Paukan<br>YKHC RMW<br>438-2024                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 14 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Nadine Sinka-Okitkun attended Clerk's Management for Rural Utilities training on 9/12/2022.                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                             | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                         | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | The utility owner and contractor have adopted overall realistic and balanced budgets, and monthly financial reports for both are provided to the governing body and documented in the meeting minutes.                                                                                                                  | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                             | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                     | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                 |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                         | 73                                                                                                                                                                                                                                                                                                                                                                              |                                                           |  |

Best Practices Score  
Kotzebue  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 3<br>Primary Operator: <i>Matthew Lazarus</i><br>Certification Level: <i>WT 4</i><br>Backup Operator: <i>Russell Ferguson</i><br>Certification Level: <i>WT 2</i><br><br>Matthew Lazarus is certified at the correct level.<br>Russell Ferguson, Alan Allen and Gayle Ralston Jr. hold certification but not at the correct level. | Matthew Lazarus has the required CEUs to renew now. Russell Ferguson needs an additional 2.9 CEUs by 12/31/24 to renew in 2024. Russell has passed the WT 3 exam but needs 5.1 additional CEUs to be eligible. Alan Allen has the required CEUs to renew in 2024, and needs to take and pass the WT 2 exam. Gayle Ralston has the required CEUs to renew in 2024, has passed the WT 1 exam and needs to apply for certification now, and needs to take and pass the WT 2 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                  | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                                                                                                                                                                                                              | MHC RMW                                                 |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                                                                                                                                                                                                       | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Rosie Hensley attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                           | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                                                                                                                                                                                                         |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments; however, the subsidy is not clearly identified and documented in the budget.                                                                                                                                          | The subsidy needs to be clearly identified and documented in the budget. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                                                                                                                                                                                                                                                       | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/29/22.                                                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                         | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                                                                                                                                                                                                                                           |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 2                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                           | 62                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                         |  |

Best Practices Score  
Koyuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                 | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Kevin J. McDonald Sr.</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Evette Henry</i><br>Certification Level: <i>Small Treated</i><br><br>Kevin McDonald and Evette Henry hold certification at the correct level. Jalen Nassuk holds no certifications. | Kevin McDonald has the required CEUs to renew in 2024. Evette Henry has the CEUs to renew in 2024. Jalen Nassuk needs to take and pass the ST exam. Please see enclosed flyer with more information about certification.             | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Shyler Johnson<br>NSHC RMW<br>625-1231                   |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 12 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | April Savetilik attended QuickBooks for Rural Utilities training on 11/14/2022.                                                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Jed Cox<br>DCRA RUBA Program<br>269-4614                 |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                  | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                  | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                  | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 11/15/23 but the utility owner did not have coverage prior to 10/05/22.                                                                                                                                            | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                     | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                       | 32                                                                                                                                                                                                                                   |                                                          |  |

Best Practices Score  
Koyukuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                                                                 | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>Dewain Dayton</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Dewain Dayton hold no certifications. There is no backup operator identified. | Dewain Dayton needs to take and pass the WT 1 exam. A backup operator needs to be identified, and needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                         | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                             | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 12 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Kalene Lolnitz attended Clerk's Management for Rural Utilities training on 4/5/2022                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                        | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                        | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                        | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                                             | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                           | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 25                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                          |

Best Practices Score  
Kwethluk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                               | How to Improve Score                                                                                                                                                                                                                                                                                                                  | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Varlaam Jackson</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Willie Larson</i><br>Certification Level: <i>Small Treated</i><br><br>Varlaam Jackson, Willie Larson, and Daniel Guy hold certification but not at the correct level. Evan Olick holds no certifications. | Varlaam Jackson needs 1.0 CEU by 12/31/23 to renew in 2023. Willie Larson has the required CEUs to renew now. Daniel Guy has the required CEUs to renew now. Varlaam Jackson, Willie Larson, Daniel Guy, and Evan Olick all need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                           | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 46 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                         | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Kenneth Carpenter attended Personnel Management for Rural Utilities training on 4/15/2021.                                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                   | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                    | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                                                 | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                                                | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | The financial reports show the utility is not collecting sufficient revenue to cover expenses.                                                                                                                                                                                                                                                                     | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                                             | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                  | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 43                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                       |                                                           |

Best Practices Score  
Kwigillingok  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Theodosius Paul</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>John Carter</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Theodosius Paul holds certification but not at the correct level. John Carter holds no certification. | Theodosius Paul will need 1.0 CEU by 12/31/25 to renew in 2025. John Carter and Theodosius Paul need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                                          | ADEC Operator Certification Program<br>465-1139           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 45 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Theodosius Paul attended Financial Management for Rural Utilities training on 3/11/2019                                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, August, September, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                         | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                  |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                           |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23 but the utility owner did not have coverage prior to 06/03/22.                                                                                                                                                            | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                                                        |                                                           |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                           |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 32                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Larsen Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                               | How to Improve Score                                                                                                                                                                                                                                              | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 1<br>Primary Operator: <i>Hugh Kennen</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Sam Kenoyer</i><br>Certification Level: <i>Operator holds no current certification</i> | Hugh Kennen holds a WT 2 certification that expired 12/31/22 without meeting the CEU requirement and needs to take and pass the WT 2 exam. Sam Kenoyer needs to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                           | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                            | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                     |                                                                                                                                                                                                                                                                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Susan Malutin attended Financial Management for Rural Utilities training on 3/11/2019.                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                               |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: July, September, October 2022. Not enough meeting minutes were submitted to RUBA for review.                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                              |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The overall budget submitted by the utility owner is not balanced.                                                                                                                                                                 | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                            | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                               | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                             | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                  | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                   |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                    | 40                                                                                                                                                                                                                                                                |                                                           |  |

Best Practices Score  
Levelock  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | Kenny Parker<br>BBAHC RMW<br>842-9624           |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program<br>269-3068         |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. |                                                 |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    | Melody Nibeck<br>DCRA RUBA Program<br>269-5939  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                 |

Best Practices Score  
Lime Village  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Werba<br>YKHC RMW<br>545-5063                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                           |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                        | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | John Wallace<br>DCRA RUBA Program<br>419-4541             |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 0           | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                                                 | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                        | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 35                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Lower Kalskag  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                                                                                                   | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Moses Levi</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Paul Evan</i><br>Certification Level: <i>Small Treated</i><br><br>Moses Levi, Paul Evan, and Ludwig White hold certifications but not at the correct level. Zackar Littlefish and Peter Nook hold no certifications. | Moses Levi and Paul Evan need 1.0 CEU each by 12/31/23 to renew in 2023. Ludwig White will need 1.0 CEU by 12/31/24 to renew in 2024. Moses Levi, Paul Evan, Ludwig White, Zackar Littlefish, and Peter Nook need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                 | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                 | Bruce Werba<br>YKHC RMW<br>545-5063                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                          | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Anna Mallette attended QuickBooks for Rural Utilities training on 2/16/2021.                                                                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                    | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                   |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has not adopted an overall realistic and balanced budget. The contractor has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                   | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                          | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                      | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                                                           | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                                                              | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                        |                                                           |
| CIP O&M Score                                                                                                         |                                 | 8                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 68                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                        |                                                           |

Best Practices Score  
Manley  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267       |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                           |                                                                                                                                                                                                                                      | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                        | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Andy Durny<br>DCRA RUBA Program<br>451-2744             |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                         |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                         |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                         |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                        | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                         |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                         |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 40                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                         |

Best Practices Score  
Manokotak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                                | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>Reuben Andrew</i><br>Certification Level: <i>Small Untreated</i><br>Backup Operator: <i>Garick Bartman</i><br>Certification Level: <i>Small Treated</i><br><br>Reuben Andrew and Garick Bartman hold certifications at the correct level. Ray Nanalook and Alexie Mochin hold no certifications. | Reuben Andrew needs 0.5 CEUs by 12/31/2023 to renew in 2023. Garick Bartman needs 1.0 CEU by 12/31/2024 to renew in 2024. Ray Nanalook and Alex Mochin both need to take and pass the SU exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                    | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                       | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Helen Ayojiak attended Financial Management for Rural Utilities training on 4/11/2022.                                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                 |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                           | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.  |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget. The budget separates utility income and expenses, but accurate monthly financial reports have not been sufficiently documented in the meeting minutes.                                                                                                                                  | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                       | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                        | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                    |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                               | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                           |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 52                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |

Best Practices Score  
Marshall  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Garrett Evan</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Kenneth Fitka</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Garrett Evan holds certification but not at the correct level. Kenneth Fitka holds no certification. | Garrett Evan has the required CEUs to renew in 2024. Garrett Evan and Kenneth Fitka need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                                                      | ADEC Operator Certification Program<br>465-1139           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                            | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 8 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Donna Hunter attended Financial Management for Rural Utilities training on 4/11/2022.                                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                 | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Budget was submitted without cover sheet or ordinance verification in minutes.                                                                                                                                                                                                                                                                             | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                  |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                           |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                          | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 30                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
McGrath  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                          | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Christopher Fredericks</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Teddy Burford</i><br>Certification Level: <i>Operator holds no current certification</i><br>Christopher Fredericks, Teddy Burford, and Tom Parker hold no certifications. | Christopher Fredericks, Tom Parker, and Teddy Burford need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.                                               | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                            | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                        | Matthew Russell<br>ADEC RMW<br>269-3067                 |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 13 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                      | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner. | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Michelle Greenamyre attended Financial Management for Rural Utilities training on 4/11/2022.                                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                           |                                                         |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                              | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                   |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                                                                                                           | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                           | Andy Durny<br>DCRA RUBA Program<br>269-4547             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                 | Full points have been awarded. Keep up the great work.                                                                                                                                                        |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                              | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                     |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                          | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                       |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                               |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 20                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                     | 80                                                                                                                                                                                                            |                                                         |  |

Best Practices Score  
Mekoryuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                                                                | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3     | System Classification: Water Treatment 1<br>Primary Operator: <i>Mark Peterson</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Shawn Nicholson</i><br>Certification Level: <i>Small Treated</i><br><br>Mark Peterson and Shawn Nicholson hold certifications but not at the correct level. Emory David holds no certification. | Mark Peterson needs 1.0 CEU by 12/31/23 to renew in 2023. Shawn Nicholson will need 1.0 CEU by 12/31/24 to renew in 2024. Mark Peterson, Shawn Nicholson, and Emory Davis need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                              | Willie Kamuck<br>YKHC RMW<br>438-6026                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0     | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                       | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5     | Bessie Weston attended Financial Management for Rural Utilities training on 10/25/2021                                                                                                                                                                                                                                                                 | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                 | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0     | Minutes were provided for the following months during this reporting period: June, July 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                            | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10    | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                                                                                        | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                       | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15    | The utility's revenues surpass its expenses. A repair and replacement account is noted in the city's budget.                                                                                                                                                                                                                                           | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/06/23.                                                                                                                                                                                                                        | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                           |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                           |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                     |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 3                                                                                                                                                                                                            | SDS O&M Score |          |       | TOTAL SCORE                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                     | 63                                                        |  |

Best Practices Score  
Mertarvik  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Calvin Tom</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Thomas John</i><br>Certification Level: <i>Operator holds no current certification</i><br>Calvin Tom is certified at the correct level. Clifford Usugan, Thomas John, and Xavier Paniyak hold no certifications. | Calvin Tom needs 1.0 CEU by 12/31/23 to renew in 2023. Clifford Usugan, Thomas John, and Xavier Paniyak need to take and pass the Small Treated exam. Please see the enclosed flyer with more information about certification.       | ADEC Operator Certification Program<br>465-1139           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 30 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jojean Stewart attended Clerk's Management for Rural Utilities training on 9/16/2021                                                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                             | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                             | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                             | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                       | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 35                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Metlakatla  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                                                 | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 0     | System Classification: Water Treatment 2<br>Primary Operator: <i>No record of a primary operator</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>No record of an operator or backup operator. This system is under the jurisdiction of Region 10 of EPA. | Primary and backup operators need to be identified and take and pass the WT 1 exam.                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                     | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Tanner Cote<br>ADEC RMW<br>269-7609                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                                                                                                 |                                                                                                                                                                                                                                      | James Latimer<br>ADEC Drinking Water Program<br>262-3410 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    | Iura Leahu<br>DCRA RUBA Program<br>465-4814              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                                                              | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                   | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 30    |                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                          |

Best Practices Score  
Minto  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                 |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 10    | System Classification: Small Treated<br>Primary Operator: <i>Orrin John</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Jonathan David</i><br>Certification Level: <i>Small Treated</i><br><br>Orrin John and Jonathan David hold the correct level of certification. Timothy Gibson holds no certification. | Orrin John and Jonathan David have the required CEUs to renew now. Timothy Gibson need to take and pass the ST exam. Please see enclosed flyer with more information about certification.                                                                          | ADEC Operator Certification Program<br>465-1139         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265             |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 21 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                              | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                             | Brendan Smyth<br>DCRA RUBA Program<br>451-2744          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 2     | Minutes were provided for the following months during this reporting period: June, July, August, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                       | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                  |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                         |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                         |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                    | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                         |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 32    |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                    |                                                         |

Best Practices Score  
Mountain Village  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                                            | Contact                                                   |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Charles Long</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Stephan Eubank</i><br>Certification Level: <i>Small Treated</i><br><br>Charles Long and Stephan Eubank holds certification but not at the correct level. John Moses holds no certification. | Charles Long will need 1.0 CEU by 12/31/25 to renew in 2025. Stephan Eubank has the required CEUs to renew in 2024. Charles Long , John Moses, and Stephan Eubank need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                          | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                          | Allan Paukan<br>YKHC RMW<br>438-2024                      |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Misti Moses attended QuickBooks for Rural Utilities training on 12/13/2021.                                                                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                             | John Wallace<br>DCRA RUBA Program<br>419-4541             |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                           | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                     |                                                           |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports are documented in meeting minutes.                                                                                                                     | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                             |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The utility is collecting sufficient revenue to cover operation expenses. A repair and replacement savings account has been established, but no contributions to the account were made during this scoring period.                                                                                                                                | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                              |                                                           |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                       |                                                           |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                        | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                         |                                                           |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                 |                                                           |  |
| CIP O&M Score |                                 | 18                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                   | 78                                                                                                                                                                                                                                                                              |                                                           |  |

Best Practices Score  
Nanwalek  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>Brian Hetrick</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Nicholi Ukatish-Gahimer</i><br>Certification Level: <i>Operator holds no current certification</i><br>Brian Hetrick and Nicholi Ukatish-Gahimer hold no certifications. | Brian Hetrick and Nicholi Ukatish-Gahimer need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                              | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Matthew Russell<br>ADEC RMW<br>269-3067                   |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 45 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                           | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Gwen Kvasnikoff attended Elected Officials Management for Rural Utilities training on 10/28/2019.                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: November 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                                | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The water and sewer utility budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                                       | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | The financial reports show the utility is not collecting sufficient revenue to cover expenses and there is no fee schedule or collection policy on file with RUBA.                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 12/30/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                                           | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                        | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 20                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Napakiak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                            | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Wassillie Pavilla</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Ralph Nelson</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Wassillie Pavilla holds certification but not at the correct level. Ralph Nelson and Thomas Andrew hold no certifications. | Wassillie Pavilla needs 1.0 CEU by 12/31/23 to renew inb 2023. Wassillie Pavilla, Ralph Nelson, and Thomas Andrew need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                | Bob White<br>YKHC RMW<br>543-6428                         |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Katrina Andrew attended Clerk's Management for Rural Utilities training on 12/12/2022                                                                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                             | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                      | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                     |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 13          | The utility owner has adopted an overall realistic and balanced budget. The budget does not separate utility income and expenses, but accurate monthly financial reports have been documented in the meeting minutes.                                                                                                                                                                | Additional points can be earned by creating a separate enterprise account for the utility. This will allow for better tracking of the utility's revenues and expenses.                                                          |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                                             | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                         |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                 |                                                           |
| CIP O&M Score                                                                                                         |                                 | 1                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 61                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                 |                                                           |

Best Practices Score  
Napaskiak  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                                                                                     | Contact                                                   |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Nelson Egoak</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Nelson Egoak holds a certification but not at the correct level. There is no backup operator. | Nelson Egoak's certification expired on 12/31/2022 without meeting the CEU requirement for renewal and needs to take and pass the WT 1 exam. A backup operator needs to be identified and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                         | Bob White<br>YKHC RMW<br>543-6428                         |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                            | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jackelyn Stevens attended Clerk's Management for Rural Utilities training on 9/23/2019                                                                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                      | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                             | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                     |                                                           |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                             | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                                        |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                             | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                           |                                                           |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23 but the utility owner did not have coverage between 05/31/22 and 06/23/22.                                                                                                                    | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                                                                                              |                                                           |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                |                                                           |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                          |                                                           |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                  | 25                                                                                                                                                                                                                                                                                                       |                                                           |  |

Best Practices Score  
Nelson Lagoon  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Darren Johnson</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Danny Johnson</i><br>Certification Level: <i>Operator holds no current certification</i><br>Darren Johnson and Danny Johnson hold no certifications. | Darren Johnson and Danny Johnson both need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                  | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Matthew Russell<br>ADEC RMW<br>269-3067                   |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 21 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Lila Johnson attended Clerk's Management for Rural Utilities training on 9/17/2018.                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/05/23 indicated the utility owner does not have coverage                                                                                                                                                                                                          | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 25                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Nenana  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                         | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 1<br>Primary Operator: <i>Clinton Berry</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Olaf Trettevik</i><br>Certification Level: <i>WD P</i>                                                            | Clinton Berry needs 3.0 CEUs by 12/31/2024 to renew in 2024. Olaf Trettevik needs 3.0 CEUs by 12/31/2024 to renew in 2024 and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                             | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                            |                                                                                                                                                                                                                                              | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jeri Knabe attended Clerk's Management for Rural Utilities training on 9/12/2022.                                                                                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                          | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                 | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                  |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments. The utility does not generate sufficient revenue to meet expenses and the subsidy is not clearly identified | The subsidy needs to be clearly identified and documented in the budget. Contact your assigned LGS for advice and assistance.                                                                                                                |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                             | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                           |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                               | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                    |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                              |                                                       |
| CIP O&M Score                                                                                                         |                                 | 12                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 72                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                              |                                                       |

Best Practices Score  
Newhalen  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                        | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>John Tretikoff</i><br>Certification Level: <i>Small Untreated</i><br>Backup Operator: <i>Nicky Anelon</i><br>Certification Level: <i>Small Treated</i><br><br>John Tretikoff and Nicky Anelon hold the correct level of certification. David Askoak, Jr. holds no certification. | John Tretikoff has the required CEUs to renew in 2024. Nicky Anelon needs 1.0 CEU by 12/31/2025 to renew in 2025. David Askoak needs to take and pass the SU exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                        | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                      | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                               | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Gladys Askoak attended Elected Officials Management for Rural Utilities training on 12/3/2020.                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                         |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                 | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has not adopted an overall realistic and balanced budget. The contractor has adopted an overall realistic and balanced budget and the contractor’s financial reports have been documented in the meeting minutes.                                                                                                             | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                               | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                             | Full points have been awarded. Keep up the great work.                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Worker’s Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                             |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 30                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                 | 90                                                                                                                                                                                                                                          |                                                           |  |

Best Practices Score  
New Stuyahok  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Distribution 2<br>Primary Operator: <i>Cohen Acovak</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Zachary Gust</i><br>Certification Level: <i>Operator holds no current certification</i><br>Cohen Acovak and Zachary Gust hold no certifications. | Cohen Acovak and Zachary Gust need to take and pass the WD 1 exam. Please see the enclosed flyer with more information about certification.                                                                                          | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                     | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jerry Walcott attended QuickBooks for Rural Utilities training on 5/16/2022.                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner and contracted managing entity have adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                         | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                 | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                         | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 60                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Newtok  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                                | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Alexie Kilongak</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Calvin Tom</i><br>Certification Level: <i>Small Treated</i><br><br>Alexie Kilongak and Calvin Tom hold certifications but not at the correct level. | Alexie Kilongak will need 1.0 CEU by 12/31/24 to renew in 2024. Calvin Tom needs 1.0 CEU by 12/31/23 to renew in 2023. Calvin Tom and Alexie Kilongak need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                    | Willie Kamuck<br>YKHC RMW<br>438-6026                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 30 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                       | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Jojean Stewart attended Clerk's Management for Rural Utilities training on 9/16/2021                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                 | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                         | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                         | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                   |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/03/23.                                                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                           |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                     |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                              | 33                                                                                                                                                                                                                                                                  |                                                           |  |

Best Practices Score  
Nightmute  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 5           | System Classification: Small Untreated<br>Primary Operator: <i>Katie Anthony</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Katie Anthony holds certification at the correct level. There is no backup operator. | Katie Anthony has the required CEU to renew in 2024. A backup operator needs to be identified and needs to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                       | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 7 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Minutes were provided for the following months during this reporting period: October, November 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23 but the utility owner did not have coverage prior to 01/06/21.                                                                                                                      | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 22                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Nikolaevsk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                                                                                         | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Stasha Kalugin</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Stasha Kalugin hold certifications but not at the correct level. No backup operator identified. | Stasha Kalugin has the required CEUs to renew in 2024, and needs to take and pass the WT 2 exam. A backup operator needs to be identified and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                    | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                       | Tanner Cote<br>ADEC RMW<br>269-7609                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                        |                                                                                                                                                                                                                                                              | James Latimer<br>ADEC Drinking Water Program<br>262-3410 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Nichole Place attended Financial Management for Rural Utilities training on 11/29/2021.                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                          |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes                                                                                                                    | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                  |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                       | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                          | Jed Cox<br>DCRA RUBA Program<br>269-4614                 |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and adequate repair and replacement funds have been set aside for the utility.                                                                                                                                                                                                                    | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                       |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/29/22.                                                                                                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                  | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                      |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                              |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 33                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                             | 93                                                                                                                                                                                                                                                           |                                                          |  |

Best Practices Score  
Nikolai  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                               | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                                                | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Matthew Russell<br>ADEC RMW<br>269-3067               |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                                                                                                                                                                                    | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Tasiana John attended QuickBooks for Rural Utilities training on 4/15/2019.                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                       |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 2     | Minutes were provided for the following months during this reporting period: June, August, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget but does not separate utility income and expenses correctly and the financial reports are not consistently included in the meeting minutes.                                                           | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Lydia Mielke<br>DCRA RUBA Program<br>269-4547         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                    | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                   | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |
| CIP O&M Score                                                                                                         |                                 | 2                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 62    |                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                       |

## OPERATIONS AND MAINTENANCE BEST PRACTICES SCORING OPT OUT REQUEST

Operations and Maintenance Best Practices is a tool for assessing the technical, financial, and managerial capacity of a community's water or wastewater utility to operate successfully. This tool was created jointly by the State of Alaska's Village Safe Water and Rural Utility Business Advisory (RUBA) programs, as well as the Alaska Native Tribal Health Consortium, tribal partners and federal funding agencies.

Data is collected by RUBA, Remote Maintenance Workers, and the Alaska Department of Environmental Conservation, and scores are updated twice per year. Scores are then used to determine eligibility and/or project scoring for the following funding opportunities:

- VSW Capital Improvement Project (CIP) grant funding
- Indian Health Service Sanitation Deficiency System (SDS) grant funding
- VSW Infrastructure Protection Funding (IPF) grant funding
- State Revolving Fund (SRF) Micro Loan grant/loan funding

Maintaining a current Best Practices score is optional. You may opt out at any time by completing the information, below, and submitting this document to [dec.capacity.development@alaska.gov](mailto:dec.capacity.development@alaska.gov) at any time.

Upon receipt of your request to opt out, the technical assistance providers listed above will be notified that you do not wish to provide the data necessary to determine a Best Practices score and they will no longer reach out to you for the required data. A letter confirming your choice to not participate will be provided twice per year, but no response will be required. A score of zero will be recorded regardless of what the actual score may have been if data had been provided.

You may opt back in at any time by sending a request to [dec.capacity.development@alaska.gov](mailto:dec.capacity.development@alaska.gov). However, collecting the data necessary to develop a new Best Practices score may take several months which could result in missed funding opportunities.

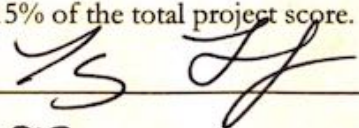
Utility Owner NIKOLSKI IRA COUNCIL

Utility Representative Name TANYA LESTENKOF

Utility Representative Title TRIBAL ADMINISTRATOR

Utility Representative Phone Number 907-576-2225

The above listed utility no longer wishes to participate in the Best Practices scoring. I understand that by not participating in the scoring process, the utility is not eligible for the funding from CIP, IPF and Micro Loans. In addition, the utility will receive zero points for the Operations and Maintenance portion of SDS project scoring, which accounts for 15% of the total project score.

Utility Representative Signature 

Date of Signature 06/24/2019

Find more Best Practices information online at: <http://dec.alaska.gov/water/OpAssist/BestPractices.html>.

Best Practices Score  
Ninilchik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                             | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                              | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter. | Tanner Cote<br>ADEC RMW<br>269-7609                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                           |                                                                                                                                                                                                  | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Steve Vanek attended Financial Management for Rural Utilities training on 10/25/2021.                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                              | Jed Cox<br>DCRA RUBA Program<br>269-4614                  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, August, December, December 2022. The water operator report was consistently included in the meeting minutes.                                                                                | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                    | Jed Cox<br>DCRA RUBA Program<br>269-4614                  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                            | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                               |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | The utility operates on a volunteer basis.                                                                                                                                                                                                                                     | N/A                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility operates on a volunteer basis.                                                                                                                                                                                                                                     | N/A                                                                                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                  |                                                           |
| CIP O&M Score                                                                                                         |                                 | 20                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 80                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                  |                                                           |

Best Practices Score  
Noatak  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                  | Contact                                                 |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|-------------|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  |  | 10          | 7     | System Classification: Water Treatment 1<br>Primary Operator: <i>Paul Walton</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Floyd Mills</i><br>Certification Level: <i>Small Treated</i><br><br>Paul Walton holds the correct level of certification.<br>Floyd Mills holds certification but not at the correct level. | Paul Walton needs 3.0 CEUs by 12/31/25 to renew in 2025.<br>Floyd Mills needs 1.0 CEU by 12/31/23 to renew in 2023 and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  |  | 7           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  |  | 3           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                | Bruce Nelson<br>MHC RMW<br>442-7042                     |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  |  | 15          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  |  | 10          | 5     | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                         | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                |                                                         |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.  |                                                         |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                     | Iura Leahu<br>DCRA RUBA Program<br>465-4814             |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  |  | 13          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  |  | 10          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                        |                                                         |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  |  | 15          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                             |                                                         |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                             |                                                         |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |  | TOTAL SCORE | 42    |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                       |                                                         |

Best Practices Score  
Nome  
Spring 2023

| Category                                                                                                                                    |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                 |  |
|---------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                                                   | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>Jerome West</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Landis Bjorgen</i><br>Certification Level: <i>WT 1</i><br><br>Jerome West, Landis Bjorgen, and Derek Wieler hold the correct level of certification. | Jerome West has required CEUs to renew now. Landis Bjorgen and Derek Wieler need 3.0 CEUs by 12/31/25 to renew in 2025. Please see the enclosed flyer with more information about certification.                                                                   | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | NSHC RMW                                                |  |
|                                                                                                                                             |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                                             |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                                          |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| Managerial                                                                                                                                  | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                          | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                             | Jed Cox<br>DCRA RUBA Program<br>269-4614                |  |
|                                                                                                                                             | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                 | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                                             |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| Financial                                                                                                                                   | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                  |                                                         |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                         |  |
|                                                                                                                                             |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                  | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                         |  |
|                                                                                                                                             |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
|                                                                                                                                             | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                         |  |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations |                                 | 2                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                       |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                         |  |
| CIP O&M Score                                                                                                                               |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 37                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                         |  |

Best Practices Score  
Nondalton  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                           | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Jesse Trefon</i><br>Certification Level: <i>ST</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Jesse Trefon holds the correct level of certification. | Jesse Trefon has the required CEUS to renew in 2024. A backup operator needs to be identified and needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                         | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 56 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.  | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Randy Kakaruk attended Elected Officials Management for Rural Utilities training on 10/28/2019.                                                                                                                                                                            | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                            | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                            | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                    |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments; accurate monthly financial reports have been submitted and documented in the meeting minutes.                          | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                            |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                        | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                             |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22 but the utility owner did not have coverage prior to 03/01/21.                                                                          | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                 | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 17                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                            | 77                                                                                                                                                                                                             |                                                           |  |

Best Practices Score  
Noorvik  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>Elino Bantatua</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Eric Howarth</i><br>Certification Level: <i>Operator holds no current certification</i><br>Elino Bantatua and Eric Howarth hold no certification. | Elino Bantatua and Eric Howarth need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                        | ADEC Operator Certification Program<br>465-1139         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                            | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Bruce Nelson<br>MHC RMW<br>442-7042                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Michelle Wells Brown attended Clerk's Management for Rural Utilities training on 8/19/2019.                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                         |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The contracted managing entity has adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                 | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                         |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 12/29/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                      | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                   | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                         |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 60                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                         |

Best Practices Score  
Northway Village  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                    | Contact                                               |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Terry Albert</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Jason Wregglesworth</i><br>Certification Level: <i>Small Treated</i><br><br>Terry Albert and Jason Wreggleworth hold the correct level of certification. Carl Carbon holds no certification. | Terry Albert needs 1.0 CEU by 12/31/2023 to renew in 2023. Jason Wreggelsworth has the required CEUs to renew now. Carl Carbon needs to take and pass the ST exam. Please see enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                  | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267     |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                           | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Nichol Rallo attended Clerk's Management for Rural Utilities training on 4/5/2022                                                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                     | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                             |                                                       |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                           | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                                                                                                  |                                                       |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                               |                                                       |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                 |                                                       |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                         |                                                       |
| CIP O&M Score |                                 | 30                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                | 90                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Nuiqsut  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>Timothy Kallenbach</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Gregory Wagner</i><br>Certification Level: <i>WT 3</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                   | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                            | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                         | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                                       | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                         | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                           | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 42                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                    |                                                          |

Best Practices Score  
Nulato  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Gregory Patsey</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>Gregory Patsey holds the correct level of certification. There is no backup operator identified. | Gregory Patsey needs 1.0 CEUs by 12/31/2024 to renew in 2024. A backup operator needs to be identified and needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                            | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                          | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                             | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265              |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Violet Kruger attended QuickBooks for Rural Utilities training on 12/17/2018.                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: July, August, September, October, November 2022. The water operator report was not consistently included in the meeting minutes .                                                                                                                    | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                       | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                              | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                 | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                    |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 2                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                   | 62                                                                                                                                                                                                                                                                 |                                                          |  |

Best Practices Score  
Nunam Iqua  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                                                                                                                                                                                                                                  | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Matthew Ignatius</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Daniel Johnson</i><br>Certification Level: <i>WT 1</i><br>Matthew Ignatius, Daniel Johnson, and Roger Canoe hold certifications but not at the correct level. Darren Abraham, Justin Ignatius, Joshua Noble, and Thomas Pete hold no certifications. | Matthew Ignatius will need 3.0 CEUs by 12/31/25 to renew in 2025. Daniel Johnson needs 3.0 CEUs by 12/31/2023 to renew in 2023. Matthew Ignatius and Daniel Johnson need to take and pass the WT 2 exam. Roger Canoe has the required CEU to renew in 2024. Darren Abraham, Justin Ignatius, Roger Canoe, Joshua Noble, and Thomas Pete need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                                                                                                                                      | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 63 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                                                                                                         | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Esther Manumik attended QuickBooks for Rural Utilities training on 10/12/2020.                                                                                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                                                                                                   | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                                        | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                                                                                                                                              | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                                                                                                   | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | The utility is collecting adequate revenue to cover its expenses. As of December 8, 2022, the city's water and sewer repair and replacement account has a significant balance.                                                                                                                                                                                                                        | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                                            | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |
| CIP O&M Score                                                                                                         |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 73                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |

Best Practices Score  
Nunapitchuk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                                                                                                                                                      | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Raymond Alexie</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Vincent Wassillie</i><br>Certification Level: <i>Small Treated</i><br><br>Raymond Alexie, Jerry Wassillie, and Vincent Wassillie hold certifications but not at the correct level. | Jerry Wassillie needs 1.0 CEU by 12/31/23 to renew in 2023. Vincent Wassillie needs 1.0 CEU by 12/31/23 to renew in 2023. Raymond Alexie has the required CEUs to renew in 2024. Vincent Wassillie and Jerry Wassillie need to take and pass the WT 1 exam. Raymond Alexie needs to take and pass the WT 2 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                          | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                                                    | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                                             | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Juliana Wassillie attended QuickBooks for Rural Utilities training on 12/17/2018                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                                       | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                   | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted a balanced budget that identifies utility income and expenses separate from other departments but adequate documentation of financial reports being reviewed by governing body is not found in the meeting minutes.                                                                                 | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                                                                             | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                          | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                                                 |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                           | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                           |                                                           |
| CIP O&M Score                                                                                                         |                                 | 3                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                   | 63                                                                                                                                                                                                                                                                                                                                                                                        |                                                           |

Best Practices Score  
Old Harbor  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 0           | System Classification: Water Treatment 2<br>Primary Operator: <i>No record of a primary operator</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>There are no primary or backup operators identified. | Primary and backup operators need to be identified and need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                 | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                         | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Tanner Cote<br>ADEC RMW<br>269-7609                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Katrina Ashouwak attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Minutes were provided for the following months during this reporting period: June, September, November 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                      | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                  | 45                                                                                                                                                                                                                                   |                                                           |  |

Best Practices Score  
Oscarville  
Spring 2023

| Category                                                                                                                                    |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|---------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                                                   | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>No record of a primary operator</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>There are no primary or backup operators identified. | Primary and backup operators need to be identified and need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification                                                                  | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bob White<br>YKHC RMW<br>543-6428                         |  |
|                                                                                                                                             |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 60 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                                             |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                                          |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                                                  | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                          | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |
|                                                                                                                                             | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                                             |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                                                   | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                                             |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 0           | A Department of Labor and Workforce Development database query on 01/06/23 indicated the utility owner does not have coverage.                                                                                                                                                                   | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |  |
|                                                                                                                                             |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                                             | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                          | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                           |  |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations |                                 | 2                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                       |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                                               |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 15                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Ouzinkie  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                                                                                                                                   | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Clifford Panamarioff</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Katherine Panamarioff</i><br>Certification Level: <i>WD P</i><br>Clifford Panamarioff holds the correct level of certification. Katherine Panamarioff holds certification but not at the correct level. Robert Katelnikoff holds no active certification. | Clifford Panamarioff needs 3.0 CEUs by 12/31/2023 to renew in 2023. Katherine Panamarioff's WD P expired on 12/31/2022 without meeting the CEU requirement for renewal and will need to take and pass the WT 1 exam. Robert Katelnikoff needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                 | Tanner Cote<br>ADEC RMW<br>269-7609                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                          | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Freida Nicori attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                    |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                                           | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                                                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                                          | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                              |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 7                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                                                | 67                                                                                                                                                                                                                                                                                                                                                     |                                                           |  |

Best Practices Score  
Pedro Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | John Johnson<br>ADEC RMW<br>269-7605            |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program<br>269-7654         |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. |                                                 |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    | Lydia Mielke<br>DCRA RUBA Program<br>269-4547   |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                 |

Best Practices Score  
Pelican  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                                              | Contact                                                     |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 2<br>Primary Operator: <i>Quintin Hafendorfer</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Christopher Bean</i><br>Certification Level: <i>Operator holds no current certification</i><br>Quintin Hafendorfer holds the correct level of certification. Christopher Bean and Derek Stewart hold no certifications. | Quintin Hafendorfer needs 3.0 CEUs by 12/31/24 to renew in 2024. Christopher Bean and Derek Stewart need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139             |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                    | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                            | Matthew Russell<br>ADEC RMW<br>269-3067                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 27 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.     | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Latticeca Steward attended Elected Officials Management for Rural Utilities training on 11/29/2021.                                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                               |                                                             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                             | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                       |                                                             |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 13          | The utility owner has adopted an overall realistic and balanced budget. The budget does not separate utility income and expenses, but accurate monthly financial reports have been documented in the meeting minutes.                                                                                                                                                       | Additional points can be earned by creating a separate enterprise account for the utility. This will allow for better tracking of the utility's revenues and expenses.                                            | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                                         | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                |                                                             |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                                                                             | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                         |                                                             |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                           | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.         |                                                             |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                   |                                                             |
| CIP O&M Score                                                                                                         |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                             | 73                                                                                                                                                                                                                |                                                             |

Best Practices Score  
Perryville  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 5     | System Classification: Small Treated<br>Primary Operator: <i>Alec Phillips</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Thomas Phillips</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Alec Phillips holds the correct level of certification.<br>Thomas Phillips holds no certification. | Alec Phillips needs 1.0 CEU by 12/31/2024 to renew in 2024.<br>Thomas Phillips needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                          | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 21 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                                 | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                    | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                    | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                    | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 0     | A Department of Labor and Workforce Development database query on 12/31/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                                          | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                 | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 20    |                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Pilot Point  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                   | Contact                                         |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The community has no utility that requires maintenance.                                                                                                                                                                                                                        |                                                                                                        | Kenny Parker<br>BBAHC RMW<br>842-9624           |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                        | ADEC Drinking Water Program                     |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Melody Nibeck<br>DCRA RUBA Program<br>269-5939  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 15    | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 20    | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |  | TOTAL SCORE | 100   |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |

Best Practices Score  
Pilot Station  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Ricky Heckman</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Ignatius Tyson</i><br>Certification Level: <i>Operator holds no current certification</i><br>Ricky Heckman holds certification but not at the correct level. Benjamin Alick, Dallas Fancyboy, and Ignatius Tyson hold no certifications. | Ricky Heckman has the required CEU to renew in 2024. Ricky Heckman needs to submit an application for WT 1 certification and needs to take and pass the WT 2 exam. Nikiefer Myers, Benjamin Alick, Dallas Fancyboy, and Ignatius Tyson need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                                     | Allan Paukan<br>YKHC RMW<br>438-2024                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 3 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Anita Myers attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                  | John Wallace<br>DCRA RUBA Program<br>419-4541             |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                            | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                            | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                            | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                         | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 33                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Pitkas Point  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                               | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Anthony Wasky</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Charlie Sallison</i><br>Certification Level: <i>Small Treated</i><br><br>Anthony Wasky and Charlie Sallison are certified at the correct level. | Anthony Wasky and Charlie Sallison have the required CEUs to renew in 2024. Please see the enclosed flyer with more information about certification.                                                                                 | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                    | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brendan Hunt attended QuickBooks for Rural Utilities training on 2/16/2021.                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                               | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has not adopted an overall realistic and balanced budget. The contractor has adopted and overall realistic and balanced budget, but monthly financial reports have not been submitted and documented in the meeting minutes.                                                     | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                                                                     | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                        | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 5                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                    | 65                                                                                                                                                                                                                                   |                                                           |

Best Practices Score  
Platinum  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0           | System Classification: Small Untreated<br>Primary Operator: <i>Roxann Ramirez</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Marvin Kelila</i><br>Certification Level: <i>Operator holds no current certification</i><br>Roxann Ramirez and Marvin Kelila hold no certifications. | Roxann Ramirez and Marvin Kelila need to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                                                                                         | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bob White<br>YKHC RMW<br>543-6428                         |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 20 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                              | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Minutes were provided for the following months during this reporting period: June 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | The water and sewer budget submitted by the utility owner is not balanced.                                                                                                                                                                                                                                                           | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                    | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 20                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Point Hope  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>Andrew Roby</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Jacob Tucker</i><br>Certification Level: <i>WT 2</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                   | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                  | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                          | 42                                                                                                                                                                                                                                                                 |                                                          |  |

Best Practices Score  
Point Lay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>Brent McEwen</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>George Pitka-Yatlin</i><br>Certification Level: <i>WT 2</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                    | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                        | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                                                                                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                        | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                          | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                    |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 37                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |  |

Best Practices Score  
Portage Creek  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | Kenny Parker<br>BBAHC RMW<br>842-9624           |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program                     |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Melody Nibeck<br>DCRA RUBA Program<br>269-5939  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                 |

Best Practices Score  
Port Alexander  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                  | Contact                                                     |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Shanna Smith</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Sarah Patrick</i><br>Certification Level: <i>Small Treated</i><br><br>Shanna Smith and Sarah Patrick hold the correct level of certification. | Shanna Smith needs 1.0 CEU by 12/31/25 to renew in 2025. Sarah Patrick's ST expired 12/31/22 without the required CEU to renew and will need to retake and pass the ST exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139             |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                        | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                | Tanner Cote<br>ADEC RMW<br>269-7609                         |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 17 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                         | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Molley Kimzey attended QuickBooks for Rural Utilities training on 2/16/2021.                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                   |                                                             |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                           |                                                             |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted a realistic and balanced budget that identifies utility income and expenses separate from other departments. The subsidy is clearly identified but monthly financial reports were not provided to RUBA.                                                           | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                         | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                            | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                        |                                                             |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/05/23.                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                             |                                                             |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                      | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                               |                                                             |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                       |                                                             |  |
| CIP O&M Score                                                                                                         |                                 | 5                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                 | 65                                                                                                                                                                                                                                                    |                                                             |  |

Best Practices Score  
Port Graham  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                                                          | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Wes Breedlove</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Daniel Hetrick</i><br>Certification Level: <i>WT 1</i><br><br>Wes Breedlove holds the correct level of certification. Daniel Hetrick holds certification but not at the correct level. | Wes Breedlove needs 3.0 CEUs by 12/31/2023 to renew in 2023. Daniel Hetrick's certificate expired on 12/31/2022 and met the CEU requirement for renewal and needs to renew and needs to take and pass the WT 2 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                             | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                        | Matthew Russell<br>ADEC RMW<br>269-3067                   |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                 | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Daniel Hetrick attended Financial Management for Rural Utilities training on 12/9/2019.                                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                           |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                          |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                             | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                  | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                        |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                       |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                               |                                                           |
| CIP O&M Score                                                                                                         |                                 | 12                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 72                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                               |                                                           |

Best Practices Score  
Port Heiden  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                   | Contact                                         |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The community has no utility that requires maintenance.                                                                                                                                                                                                                        |                                                                                                        | Kenny Parker<br>BBAHC RMW<br>842-9624           |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                        | ADEC Drinking Water Program<br>262-3420         |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information. |                                                 |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 15    | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    | Melody Nibeck<br>DCRA RUBA Program<br>269-5939  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 20    | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |  | TOTAL SCORE | 100   |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |

Best Practices Score  
Port Lions  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                                                                                                             | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Keana Bendixen</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Jordon Covarrubias</i><br>Certification Level: <i>WT P</i><br><br>Keana Bendixen and Jordan Covarrubias hold certifications but not at the correct level. Willie Nelson holds no active certifications. | Keanna Bendixen has the required CEUs to renew now, and needs to take and pass the WT 2 exam. Jordon Covarrubias needs 3.0 CEUs by 12/31/2023 to renew in 2023, and needs to take and pass the WT 2 exam. Willie Nelson holds no active certifications. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                           | Tanner Cote<br>ADEC RMW<br>269-7609                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                    | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Dorinda Kewan attended Elected Officials Management for Rural Utilities training on 3/12/2018.                                                                                                                                                                                                                                                         | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                              |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, October 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                             | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                    | Lydia Mielke<br>DCRA RUBA Program<br>269-4547             |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                   |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                  |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                        | 58                                                                                                                                                                                                                                                                                                                               |                                                           |  |

Best Practices Score  
Port Protection  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                 | Contact                                                     |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|-------------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  |  | 10          | 5     | System Classification: Small Untreated<br>Primary Operator: <i>Leslie Fahey</i><br>Certification Level: <i>Small Untreated</i><br>Backup Operator: <i>Terri Metcalf</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Leslie Fahey holds the correct level of certification.<br>Terri Metcalf holds no certification. | Leslie Fahey has the required CEUs to renew now. Terri Metcalf needs to take and pass the Small Untreated exam. Please see the enclosed flyer with more information about certification.                                             | ADEC Operator Certification Program<br>465-1139             |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                              | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Tanner Cote<br>ADEC RMW<br>269-7609                         |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                               | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Iura Leahu<br>DCRA RUBA Program<br>465-4814                 |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                  | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                             |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                  | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                             |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                  | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                             |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                             |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                            | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                             |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |  | TOTAL SCORE | 50    |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                      |                                                             |

Best Practices Score  
Quinhagak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                                                            | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Charlie Pleasant</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Adolph Pleasant</i><br>Certification Level: <i>WT 1</i><br><br>Charlie Pleasant and Adolph Pleasant hold certifications but not at the correct level. John Fox holds no certification. | Charlie Pleasant and Adolph Pleasant have the required CEUs to renew in 2024. Charlie Pleasant and Adolph Pleasant need to take and pass the WT 2 exam. John Fox needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                | Bob White<br>YKHC RMW<br>543-6428                         |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 11 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                   | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Amanda Mark attended Elected Officials Management for Rural Utilities training on 10/28/2019.                                                                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                             | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                         | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner and contractor have adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting mi                                                                         | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                             | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                     | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                 |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 73                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                 |                                                           |  |

Best Practices Score  
Rampart  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                   | Contact                                                 |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>Robert Wright</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Benjamin Newman</i><br>Certification Level: <i>WT 1</i> | Robert Wright has the required CEUs to renew. Benjamin Newman needs 3.0 CEU by 12/31/23 to renew in 2023. Michael Wiehl needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                       | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                       | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265             |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 13 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                        | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                          | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Michael Wiehl attended Personnel Management for Rural Utilities training on 4/6/2021                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                    | Brendan Smyth<br>DCRA RUBA Program<br>451-2744          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                  | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.   |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                  | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                      |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                  | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                         |                                                         |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                              |                                                         |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                     | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                              |                                                         |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                       |                                                                                                                                                                                                                                        |                                                         |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 35                                                                                                                                                                                                    |                                                                                                                                                                                                                                        |                                                         |

Best Practices Score  
Ruby  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score | Explanation of Score                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0     | System Classification: Water Treatment 1<br>Primary Operator: <i>James Esmailka</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Mary Ann McCarty</i><br>Certification Level: <i>Operator holds no current certification</i> | James Esmailka and Mary Ann McCarty need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                    | ADEC Operator Certification Program<br>465-1139       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |       | James Esmailka and Mary Ann McCarty hold no certifications.                                                                                                                                                                                                                   |                                                                                                                                                                                                                                      |                                                       |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                               | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0     | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>269-3068 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                       | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Andy Durny<br>DCRA RUBA Program<br>451-2744           |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0     | Minutes were provided for the following months during this reporting period: June, September, October 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 10    | The utility owner has adopted an overall realistic and balanced budget, but it does not separate utility income and expenses and accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                       |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 5     | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                      | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                     |                                                       |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 2     | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23 but the utility owner did not have coverage between September and December 2021.                                                           | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                       |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                       |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |       |                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |          |       | TOTAL SCORE                                                                                                                                                                                                                                                                   | 32                                                                                                                                                                                                                                   |                                                       |

Best Practices Score  
Russian Mission  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                               | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Small Untreated<br>Primary Operator: <i>James Housler</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Paul Larson</i><br>Certification Level: <i>Operator holds no current certification</i><br>James Housler, Paul Larson, and David Kozevnikoff hold no certifications. | James Housler, Paul Larson, and David Kozevnikoff need to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                                                                                                      | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                    | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                      | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Anastasia Larson attended Financial Management for Rural Utilities training on 3/5/2018.                                                                                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                           | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner and contractor have adopted overall realistic and balanced budgets, but monthly financial reports were not submitted and documented in the meeting minutes.                                                                                                                                                                      | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/09/23.                                                                                                                                                                                              | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                  | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 2                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 62                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Saint George  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: Small Untreated<br>Primary Operator: <i>Laurence Prokopiof</i><br>Certification Level: <i>SU</i><br>Backup Operator: <i>Keith Lestenkoff</i><br>Certification Level: <i>SU</i><br><br>Laurence Prokopiof and Keith Lestenkoff hold the correct level of certification. | Laurence Prokopiof needs 0.5 CEU by 12/31/2024 to renew in 2024. Keith Lestenkoff needs 0.5 CEU by 12/31/2024 to renew in 2024. Please see the enclosed flyer with more information about certification.                             | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                      | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 0     | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Mark Merculief attended Financial Management for Rural Utilities training on 3/11/2019.                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 0     | The utility owner has not adopted an overall realistic and balanced budget.                                                                                                                                                                                                                   | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                               | Jed Cox<br>DCRA RUBA Program<br>269-4614                  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                          | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 45    |                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Saint Mary's  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                     | Contact                                                   |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Andrew Journey</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Clint Nashoanak</i><br>Certification Level: <i>Small Treated</i><br><br>Andrew Journey holds the correct level of certification. Clint Nashoanak holds certification but not at the correct level. | Andrew Journey needs 3.0 CEUs by 12/31/2025 to renew in 2025. Clint Nashoanak needs 1.0 CEU by 12/31/24 to renew in 2024. Clint Nashoanak needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                   | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                         | Allan Paukan<br>YKHC RMW<br>438-2024                      |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                            | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Gertrude Paukan attended QuickBooks for Rural Utilities training on 5/16/2022.                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                      |                                                           |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                              | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                              |                                                           |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                             | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                      | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                          | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                         |                                                           |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                                            | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                |                                                           |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                 | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                |                                                           |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                          |                                                           |
| CIP O&M Score                                                                      |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 57                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                          |                                                           |

Best Practices Score  
Saint Michael  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                                | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Norbert Otten</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Charles Doty</i><br>Certification Level: <i>Small Treated</i><br><br>Norbert Otten and Charles Doty hold the correct level of certification. Alex Tom holds no certification. | Norbert Otten needs an additional 0.2 CEUs by 12/31/23 to renew in 2023. Charles Doty has the required CEUs to renew in 2024. Alex Tom needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                    | Shyler Johnson<br>NSHC RMW<br>625-1231                   |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                       | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Stephanie Lockwood attended Financial Management for Rural Utilities training on 3/18/2021.                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                 | Jed Cox<br>DCRA RUBA Program<br>269-4614                 |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                    | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner and the contractor have provided overall realistic and balanced budgets, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                       | Jed Cox<br>DCRA RUBA Program<br>269-4614                 |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                     | Full points have been awarded. Keep up the great work.                                                                                                                                                                                              |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/29/22.                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                           |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                             |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                     |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 10                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                         | 70                                                                                                                                                                                                                                                  |                                                          |  |

Best Practices Score  
Saint Paul  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                             | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Adrian Dirks</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Monty Baker</i><br>Certification Level: <i>Small Treated</i><br><br>Adrian Dirks and Monty Baker hold certifications but not at the correct level. | Adrian Dirks and Monty Baker have the required CEUs to renew in 2024. Adrian Dirks and Monty Baker need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                 | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                           | John Johnson<br>ADEC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 18 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                           | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.    | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                  | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                           |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                          | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | The utility owner has not adopted an overall realistic and balanced budget.                                                                                                                                                                                                                              | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                           | Jed Cox<br>DCRA RUBA Program<br>269-4614                  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                 | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                 |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/29/22.                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                               | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                          |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                  |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 48                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                  |                                                           |  |

Best Practices Score  
Sand Point  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                                    | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Dennis McGlashan</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Dylan Jacobsen</i><br>Certification Level: <i>WT 1</i><br><br>Dennis McGlashan holds the correct level of certification. Dylan Jacobsen holds certification but not at the correct level. | Dennis McGlashan has the required CEUs to renew now. Dylan Jacobsen has the required CEUs to renew in 2024, and has passed the WT 2 exam and needs to apply for certification. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                  | Matthew Russell<br>ADEC RMW<br>269-3067                   |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 4 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                           | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jordon Keeler attended Financial Management for Rural Utilities training on 1/28/2021.                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                     |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                            | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                             |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The utility has submitted and adopted a realistic budget; however, the utility owner has not adopted a realistic and balanced budget.                                                                                                                                                                                                      | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                  | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                        | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                               |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                 | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                 |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                         |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 12                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                            | 72                                                                                                                                                                                                                                                      |                                                           |  |

Best Practices Score  
Savoonga  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                                                                                                                  | Contact                                                  |  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10    | System Classification: Water Treatment 1<br>Primary Operator: <i>Scott Kingeekuk</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Freeman Kingeekuk</i><br>Certification Level: <i>WT 1</i><br>Scott Kingeekuk and Freeman Kingeekuk hold the correct level of certification. Scott Toolie holds certification but not at the correct level. Elmer Rookok holds no certification. | Scott Kingeekuk needs 1.9 CEUs by 12/31/23 to renew in 2023. Freeman Kingeekuk needs 0.4 CEUs by 12/31/23 to renew in 2023. Scott Toolie needs 1.0 CEU by 12/31/23 to renew in 2023 and needs to take and pass the WT 1 exam. Elmer Rookok needs to take and pass WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |  |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                                        | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                | Jalen Katchatag<br>NSHC RMW<br>434-6904                  |  |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0     | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                       | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5     | Charlotte Kava attended Organizational Management for Rural Utilities training on 2/11/2019.                                                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                   | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |  |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                            | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                                                  |                                                          |  |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10    | The utility owner has not adopted an overall realistic and balanced budget. The contractor has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                                         | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20    | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                                             | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                                                |                                                          |  |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5     | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                             |                                                          |  |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0     | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                         | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                                                                                                    |                                                          |  |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                       |                                                          |  |  |
| CIP O&M Score                                                                                                         |                                 | 15                                                                                                                                                                                                           | SDS O&M Score |          |       | TOTAL SCORE                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                       | 75                                                       |  |  |

Best Practices Score  
Saxman  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                                                       | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Lori Richmond</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Frank Seludo</i><br>Certification Level: <i>Operator holds no current certification</i><br>Lori Richmond and Frank Seludo hold certification but not at the correct level. Richard Shields holds no certification. | Lori Richmond and Frank Seludo have the required CEUs to renew now and need to take and pass the WT 1 exam. Richard Shields needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                  | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                     | Tanner Cote<br>ADEC RMW<br>269-7609                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 12 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                              | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Lori Richmond attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                        |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, December 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                    | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been provided to RUBA.                                                                                                                                                | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                              | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                 | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                           |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/23/22.                                                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                  |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                            |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 3                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                           | 63                                                                                                                                                                                                                                         |                                                           |  |

Best Practices Score  
Scammon Bay  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                                                                                                                                | Contact                                                   |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Carlie George</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Ralph Bell</i><br>Certification Level: <i>WT 1</i><br><br>Carlie George, Ralph Bell hold, and Noel Uttereyuk hold certifications but not at the correct level. | Carlie George needs 3.0 CEUs by 12/31/23 to renew in 2023. Ralph Bell has the required CEUs to renew in 2024. Noel Uttereyuk as the required CEUs to renew in 2024. Carlie George and Ralph Bell need to take and pass the WT 2 exam. Noel Uttereyuk needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                                                    | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 32 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                       | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Jessica Hunter attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                       | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                 | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                         |                                                           |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | The utility owner and contractor have adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting mi                                              | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                 | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                          | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                                                                                                              |                                                           |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                        | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                           |                                                           |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                  | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                             |                                                           |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0        |             |                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                     |                                                           |
| CIP O&M Score |                                 | 13                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                              | 73                                                                                                                                                                                                                                                                                                                                                                  |                                                           |

Best Practices Score  
Selawik  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                                                                                                                          | Contact                                                 |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>John Cleveland</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Johnathon Carter</i><br>Certification Level: <i>Small Treated</i><br><br>John Cleveland, Johnathon Carter, and Bruce Dexter hold certification but not at the correct level. Joe Foxglove holds no certification. | John Cleveland needs 3.0 CEUs by 12/31/24 to renew in 2024 and needs to take and pass the WT 2 exam. Johnathon Carter and Bruce Dexter have the required CEUs to renew in 2024. Johnathon Carter, Bruce Dexter and Joe Foxglove need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                                                              | Bruce Nelson<br>MHC RMW<br>442-7042                     |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 21 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                 | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Edna Estrella attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                                                                                            | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                           |                                                         |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: November 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                                                                                        | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                                          |                                                         |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted a budget, but not enough meeting minutes were provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                                                               | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                                                 | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                              | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                                                                                            |                                                         |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23 but the utility owner did not have coverage prior to 09/30/22.                                                                                                                                                                | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                                                                                                                                   |                                                         |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                                     |                                                         |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                               |                                                         |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                  | 50                                                                                                                                                                                                                                                                                                                                            |                                                         |

Best Practices Score  
Seldovia  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                                | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 7           | System Classification: Water Treatment 2<br>Primary Operator: <i>Jordan Cameron</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br>Jordan Cameron holds certification but not at the correct level. There is no backup operator identified. This system currently has an approved AMOSS Plan with Todd Cook as the remote operator and holds a WT 4 . | Jordan Cameron has the required CEUs to renew in 2023, and needs to take and pass the WT 2 exam. A backup operator needs to be identified and take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                                                                                   | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                              | Tanner Cote<br>ADEC RMW<br>269-7609                       |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 5           | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                                                                              | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                       | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Jan Yaeger attended Financial Management for Rural Utilities training on 1/28/2021.                                                                                                                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                 |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                                                            | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                                                                                                | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                 | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549        |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                                                                                                                                   | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                    |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                                                                                                                                                     | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                           |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                                                                          | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                           |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                     |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 12                                                                                                                                                                                                           | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                                                                            | 72                                                                                                                                                                                                                                                  |                                                           |  |

Best Practices Score  
Shageluk  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Alexander Edwards</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>John Hamilton</i><br>Certification Level: <i>Small Treated</i><br><br>Alexander Edwards, John Hamilton, and Jared Menadelook hold the correct level of certification. | Alexander Edwards and Jared Menadelook have the required CEUs to renew now. John Hamilton has the required CEUs to renew in 2024. Please see the enclosed flyer with more information about certification.                                                         | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Bruce Werba<br>YKHC RMW<br>545-5063                       |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 17 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jared Menadelook attended QuickBooks for Rural Utilities training on 10/12/2020                                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, October 2022 . The water operator report was not consistently included in the meeting minutes.                                                                                                                              | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments; however, the subsidy is not clearly identified and documented in the budget.                                                                                             | The subsidy needs to be clearly identified and documented in the budget. Contact your assigned LGS for advice and assistance.                                                                                                                                      | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                     | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                   |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                                                                       | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                            | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                          |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 52                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                           |

Best Practices Score  
Shaktoolik  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible      | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                                      | How to Improve Score                                                                                                                                                                                            | Contact                                                 |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10            | 3     | System Classification: Water Treatment 2<br>Primary Operator: <i>Melvin Hunt</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Trevor Savetilik</i><br>Certification Level: <i>Operator holds no current certification</i><br>Melvin Hunt holds certification but not at the correct level. Trevor Savetilik and Lynn Takak hold no certifications. | Melvin Hunt has the required CEUs to renew in 2024. Melvin Hunt, Trevor Savetilik, and Lynn Takak need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25            | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                           | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                | Shyler Johnson<br>NSHC RMW<br>625-1231                  |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15            |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10            | 0     | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.   | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5             | 5     | Isabelle Jackson and others attended Elected Officials Management for Rural Utilities training on 11/29/2021.                                                                                                                                                                                                                                                             | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                             |                                                         |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5             | 5     | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                     | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                     |                                                         |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15            | 15    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                                     | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                             | Iura Leahu<br>DCRA RUBA Program<br>465-4814             |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13            |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10            |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20            | 20    | Revenues surpass expenses and a reasonable repair and replacement account is funded annually.                                                                                                                                                                                                                                                                             | Full points have been awarded. Keep up the great work.                                                                                                                                                          |                                                         |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15            |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5             | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/05/22.                                                                                                                                                                                                                                           | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                       |                                                         |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5             | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                         |                                                         |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0             |       |                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                 |                                                         |  |
| CIP O&M Score |                                 | 13                                                                                                                                                                                                           |  | SDS O&M Score |       | TOTAL SCORE                                                                                                                                                                                                                                                                                                                                                               | 73                                                                                                                                                                                                              |                                                         |  |

Best Practices Score  
Shishmaref  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                                                                                                                                     | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>John Weyiouanna</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Jeffery Nayokpuk</i><br>Certification Level: <i>Small Treated</i><br><br>John Weyiouanna, Jeffery Nayokpuk, and Thomas Pootoogooluk hold certification but not at the correct level. | John Weyiouanna has the required CEUs to renew in 2024 and needs to take and pass the WT 2 exam. Jeffery Nayokpuk has the required CEU to renew now and needs to take and pass the WT 1 exam. Thomas Pootoogooluk has the necessary CEUs to renew in 2024 needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                             | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                                   | Jalen Katchatag<br>NSHC RMW<br>434-6904                  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 12 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                            | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Lucy Weuiouanna attended Clerk's Management for Rural Utilities training on 2/24/2020                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                                      | Iura Leahu<br>DCRA RUBA Program<br>465-4814              |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                                                                                     |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 10          | The utility owner has adopted a realistic and balanced budget, but accurate monthly financial reports were not submitted and documented in the meeting minutes. The subsidy is not clearly identified and documented in the budget.                                                                                                  | The subsidy needs to be clearly identified and documented in the budget. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                                                                            | Iura Leahu<br>DCRA RUBA Program<br>465-4814              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                                                                                           |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/05/22.                                                                                                                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                                |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                                  |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                          |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                      | 53                                                                                                                                                                                                                                                                                                                                                                       |                                                          |

Best Practices Score  
Shungnak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                       | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0           | System Classification: Water Treatment 1<br>Primary Operator: <i>Dion Tickett</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Ivan Lee</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Dion Tickett & Ivan Lee hold no certifications. | Dion Tickett and Ivan Lee need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                                                              | ADEC Operator Certification Program<br>465-1139         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                            | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Nelson<br>MHC RMW<br>442-7042                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 15 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                             | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                    | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Eli Jacobson<br>DCRA RUBA Program<br>269-4132           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                       | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 10          | The contracted managing entity has adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                                                                                                   | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                        | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                         |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 2           | Current coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/29/22 but the utility owner did not have coverage prior to 05/01/22.                                                                                                           | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                         |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                         |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                      |                                                         |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 52                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                         |

Best Practices Score  
Slana  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | John Johnson<br>ADEC RMW<br>269-7605            |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program                     |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | N/A attended N/A training on 12:00:00 AM.                                                                                                                                                                                                                                    | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Brendan Smyth<br>DCRA RUBA Program<br>451-2744  |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |  |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                 |  |

Best Practices Score  
Sleetmute  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 5     | System Classification: Small Treated<br>Primary Operator: <i>Terrence Morgan</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Evan McArr</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Terrence Morgan is certified at the correct level. Evan McArr, Warren Mandregan, and Samuel Mellick hold no certifications. | Terrence Morgan has the required CEUs to renew in 2024. Evan McArr, Warren Mandregan, and Samuel Mellick need to take and pass the Small Treated exam. Please see the enclosed flyer with more information about certification.      | ADEC Operator Certification Program<br>465-1139           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                               | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Bruce Werba<br>YKHC RMW<br>545-5063                       |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 34 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                                                       | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 10    | The utility contractor has adopted a realistic budget, but the budget is not adhered to or monitored with monthly financial reports.                                                                                                                                                                                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                           |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 20    | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                                           | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                           |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 0     | A Department of Labor and Workforce Development database query on 01/09/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                                                                | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                      |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 50    |                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
South Naknek  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                                                                                                                                                          |                                       |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 5           | System Classification: Small Untreated<br>Primary Operator: <i>Michael Johnson</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Carvel Zimin</i><br>Certification Level: <i>Operator holds no current certification</i> | Michael Johnson has the required CEUs to renew in 2024. Carvel Zimin needs to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                                                    | ADEC Operator Certification Program<br>465-1139                                                                                                                                                  |                                       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             | Michael Johnson holds the correct level of certification. Carvel Zimin holds no certifications.                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          |                                                                                                                                                                                                                                                | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter. | Kenny Parker<br>BBAHC RMW<br>842-9624 |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Heather Murray<br>ADEC Drinking Water Program<br>269-7619                                                                                                                                        |                                       |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                        | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Melody Nibeck<br>DCRA RUBA Program<br>269-5939                                                                                                                                                   |                                       |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                           | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                                                                                                                                                                  |                                       |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 10          | The contracted managing entity has adopted a budget, but meeting minutes were not provided to RUBA during this reporting period; therefore, a review of the financial reports has not been demonstrated.                                       | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                                                                                                                                                                  |                                       |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                                                                                               |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 2           | Current coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/31/22 but the utility owner did not have coverage between 01/01/21 and 04/30/22.                   | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                        | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |  | 0        |             |                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 52                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |                                       |

Best Practices Score  
Stebbins  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                          | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 1<br>Primary Operator: <i>Peter Martin</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>George Otten</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Peter Martin holds the correct level of certification. George Otten holds no certifications. | Peter Martin has the required CEUs to renew in 2024. George Otten needs to take an pass WT 1 exam. Please see the enclosed flyer with more information about certification.                                   | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                 | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                        | Shyler Johnson<br>NSHC RMW<br>625-1231                   |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 10 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                           | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner. | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Daphne Katcheak and others attended Elected Officials Management for Rural Utilities training on 11/29/2021                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                           |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, August, September, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                   | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                   |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner adopted a realistic and balanced budget, but RUBA received only three-monthly financial reports during this reporting period.                                                                                                                                                                                          | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                 | Iura Leahu<br>DCRA RUBA Program<br>465-4814              |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                     | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/05/22.                                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                     |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                        | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.     |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                               |                                                          |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 55                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                               |                                                          |

Best Practices Score  
Stevens Village  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Henry Smoke</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i> | Henry Smoke needs 1.0 CEU by 12/31/2024 to renew in 2024. A backup operator needs to be identified and needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                  | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266        |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 9 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | David Kriska attended Financial Management for Rural Utilities training on 1/25/2021                                                                                                                                   | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                   |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 30                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Stony River  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                   | Contact                                         |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                        |                                                                                                        | Bruce Werba<br>YKHC RMW<br>545-5063             |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                        | ADEC Drinking Water Program                     |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                             | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | John Wallace<br>DCRA RUBA Program<br>419-4541   |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                             | N/A                                                                                                    |                                                 |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                        |                                                 |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                | 100                                                                                                    |                                                 |

Best Practices Score  
Takotna  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                 |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 0           | System Classification: Small Treated<br>Primary Operator: <i>Doug Heath</i><br>Certification Level: <i>Operator holds no current certification</i><br>Backup Operator: <i>Dean Gray</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Doug Heath and Dean Gray hold no certifications. | Doug Heath and Dean Gray need to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                                                                                 | ADEC Operator Certification Program<br>465-1139         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                        | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Matthew Russell<br>ADEC RMW<br>269-3067                 |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 26 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                               | Lydia Mielke<br>DCRA RUBA Program<br>269-4547           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                         |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                         |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                         |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                         |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 25                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                         |  |

Best Practices Score  
Tanacross  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                        | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: Small Untreated<br>Primary Operator: <i>John Lillie</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Derek Titus</i><br>Certification Level: <i>Small Treated</i><br><br>John Lillie and Derek Titus hold the correct level of certification. | John Lillie and Derek Titus have the required CEUs to renew now. Please see the enclosed flyer with more information about certification.                                                                                            | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                             | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 0     | The utility had 6 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Monica Isaac attended Clerk's Management for Rural Utilities training on 12/12/2022.                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                        | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                        | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                        | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                      | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                  | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 40    |                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Tanana  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                    | Contact                                               |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>James Roberts</i><br>Certification Level: <i>WT P</i><br>Backup Operator: <i>David Sanders</i><br>Certification Level: <i>Operator holds no current certification</i><br>James Roberts hold certification but not at the correct level. David Sanders holds no certification. Isaac Morgan holds no certifications. | James Roberts has the required CEUs to renew now and needs to take and pass the WT 2 exam. David Sanders and Isaac Morgan need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                        | Lee Meckel<br>TCC RMW<br>452-8251 ext. 3265           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 21 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                           | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Florence Grant attended Financial Management for Rural Utilities training on 10/6/2022.                                                                                                                                                                                                                                                                                              | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                     | Andy Durny<br>DCRA RUBA Program<br>451-2744           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.    |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                 | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                       |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                                                  | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                      |                                                       |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/10/23 but the utility owner did not have coverage prior to 12/23/22.                                                                                                                                                                     | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                             |                                                       |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                    | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                               |                                                       |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                         |                                                       |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                      | 40                                                                                                                                                                                                                                      |                                                       |

Best Practices Score  
Tatitlek  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                 | Contact                                                     |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Treated<br>Primary Operator: <i>Dylan Geffe</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Jenny Vlasoff</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Dylan Geffe holds the correct level of certification.<br>Jenny Vlasoff holds no certification. | Dylan Geffe needs 3.0 CEUs by 12/31/2024 to renew in 2024. Jenny Vlasoff needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                                | ADEC Operator Certification Program<br>465-1139             |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Matthew Russell<br>ADEC RMW<br>269-3067                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 32 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                         | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Christina Harris<br>ADEC Drinking Water Program<br>376-1861 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Jenny Vlasoff attended Financial Management for Rural Utilities training on 12/9/2019.                                                                                                                                                                                                                                                 | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                             |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    | Lydia Mielke<br>DCRA RUBA Program<br>269-4547               |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                               | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                     |                                                             |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                             |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                      | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                             |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                      |                                                             |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 45                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      |                                                             |

Best Practices Score  
Tazlina  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                         | How to Improve Score                                                                                   | Contact                                         |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: No operator required<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                    | ADEC Operator Certification Program<br>465-1139 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The community has no utility that requires maintenance.                                                                                                                                                                                                                      |                                                                                                        | John Johnson<br>ADEC RMW<br>269-7605            |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                          |                                                                                                        | ADEC Drinking Water Program                     |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | RUBA provides free training several times per year. Contact your RUBA specialist for more information. | Brendan Smyth<br>DCRA RUBA Program<br>451-2744  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | Community does not have a utility.                                                                                                                                                                                                                                           | N/A                                                                                                    |                                                 |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                              |                                                                                                        |                                                 |
| CIP O&M Score |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                              | 100                                                                                                    |                                                 |

Best Practices Score  
Teller  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                             | How to Improve Score                                                                                                                                                                                                                 | Contact                                                  |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Treated<br>Primary Operator: <i>Jerry Okbaok</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Norman Ongtowasruk</i><br>Certification Level: <i>Small Treated</i><br><br>Jerry Okbaok and Norman Ongtowasruk hold the correct level of certification. | Jerry Okbaok needs 3.0 CEUs by 12/31/2025 to in 2025. Norman Ongtowasruk has the required CEU to renew now. Please see the enclosed flyer with more information about certification.                                                 | ADEC Operator Certification Program<br>465-1139          |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                  | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Jalen Katchatag<br>NSHC RMW<br>434-6904                  |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 32 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Iris Topkok attended QuickBooks for Rural Utilities training on 5/16/2022                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Brendan Smyth<br>DCRA RUBA Program<br>451-2744           |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Minutes were provided for the following months during this reporting period: June 2022, July 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                      | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        |                                                          |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                             | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                          |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23 but the utility owner did not have coverage prior to 10/07/22.                                                                                                | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                          |                                                          |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                          |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                      |                                                          |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                  | 42                                                                                                                                                                                                                                   |                                                          |  |

Best Practices Score  
Tetlin  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                       | Contact                                               |  |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>Michael Sam</i><br>Certification Level: <i>Small Untreated</i><br>Backup Operator: <i>Shane Nelson</i><br>Certification Level: <i>Small Untreated</i><br><br>Michael Sam and Shane Nelson hold the correct level of certification. Alexander Joe holds no certifications. | Michael Sam needs 0.5 CEUs by 12/31/2023 to renew in 2023. Shane Nelson has the required CEUs to renew in 2024. Alexander Joe needs to take and pass the SU exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                           | Scot Demientieff<br>TCC RMW<br>452-8251 ext. 3267     |  |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                            | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                              | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Kristie Charlie attended QuickBooks for Rural Utilities training on 12/14/2020                                                                                                                                                                                                                                                           | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                        | Brendan Smyth<br>DCRA RUBA Program<br>451-2744        |  |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.       |                                                       |  |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                     | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                          |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                     | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                             |                                                       |  |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23.                                                                                                                                                                                                   | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                  |                                                       |  |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                  | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                         |                                                       |  |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               |  | 0        |             |                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                            |                                                       |  |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                          | 40                                                                                                                                                                                                                                         |                                                       |  |

Best Practices Score  
Thorne Bay  
Spring 2023

| Category                                                                           |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |
|------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                          | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Water Treatment 2<br>Primary Operator: <i>Samuel Sawyer</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>William Jennings</i><br>Certification Level: <i>Operator holds no current certification</i><br>Samuel Sawyer holds the correct level of certification. William Jennings holds no certification. | Samuel Sawyer needs an additional 1.5 CEUs by 12/31/24 to renew in 2024. William Jennings needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.                                                           | ADEC Operator Certification Program<br>465-1139          |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                      | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                             | Tanner Cote<br>ADEC RMW<br>269-7609                      |
|                                                                                    |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                 | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | James Latimer<br>ADEC Drinking Water Program<br>262-3410 |
|                                                                                    |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
| Utility had more than five Monitoring and Reporting violation during the last year |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
| Managerial                                                                         | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Teri Feibel attended Elected Officials Management for Rural Utilities training on 12/3/2020.                                                                                                                                                                                                                                                  | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |
|                                                                                    | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                     | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |
|                                                                                    |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
| Financial                                                                          | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but monthly financial reports have not been provided to RUBA.                                                                                                                             | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Iura Leahu<br>DCRA RUBA Program<br>465-4814              |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                          | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |
|                                                                                    |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/05/23.                                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |
|                                                                                    |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                    | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                            |                                                          |
|                                                                                    |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
|                                                                                    |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                    |                                                          |
| CIP O&M Score                                                                      |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 52                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                    |                                                          |

Best Practices Score  
Togiak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                                                                                                                           | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 1<br>Primary Operator: <i>Darryl Thompson</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Mickey Atakitlig</i><br>Certification Level: <i>WT 1</i><br>Darryl Thompson and Mickey Atakitlig hold the correct level of certification. Jeffery Gregorio holds certification but not at the correct level. Terry Evatt and Brice Eningowuk hold no certifications. | Darryl Thompson needs 3.0 CEUs by 12/31/2025 to renew in 2025. Mickey Atakitlig needs 3.0 CEUs by 12/31/2025 to renew in 2025. Jeffery Gregorio needs 1.0 CEU by 12/31/2024 to renew in 2024. Terry Evatt, Brice Eningowuk, and Jeffery Gregorio need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                                                             | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                         | Kenny Parker<br>BBAHC RMW<br>842-9624                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Patricia Wilcox attended Clerk's Management for Rural Utilities training on 9/16/2021.                                                                                                                                                                                                                                                                                                                               | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                                                            | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                    |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                                                                                                                                                            | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                            | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The financial reports show the utility is collecting sufficient revenue to cover expenses, but the utility does not contribute to a repair and replacement account.                                                                                                                                                                                                                                                  | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 12/31/22.                                                                                                                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                                                    | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                |                                                           |
| CIP O&M Score                                                                                                         |                                 | 30                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 90                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                |                                                           |

Best Practices Score  
Toksook Bay  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                  | How to Improve Score                                                                                                                                                                                                                                                       | Contact                                                   |  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10    | System Classification: Water Treatment 1<br>Primary Operator: <i>Richard Curtis</i><br>Certification Level: <i>WT 2</i><br>Backup Operator: <i>Jeffrey Curtis</i><br>Certification Level: <i>WT 1</i><br><br>Richard Curtis and Jeffrey Curtis are certified at the correct level. Jonathan Charlie holds certification but not at the correct level. | Richard Curtis and Jeffrey Curtis need 3.0 CEUs by 12/31/25 to renew in 2025. Jonathan Charlie needs 1.0 CEU by 12/31/24 to renew in 2024. Jonathan Charlie needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                              | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                     | Willie Kamuck<br>YKHC RMW<br>438-6026                     |  |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                            | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5     | Dorothy Alirkar attended Elected Officials Management for Rural Utilities training on 3/10/2022.                                                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                        |                                                           |  |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5     | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                       | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                |                                                           |  |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15    | The utility owner and contractor have adopted an overall realistic and balanced budget, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                                                                                             | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Nicholas Martinez<br>DCRA RUBA Program<br>545-7004        |  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20    | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                                   | Full points have been awarded. Keep up the great work.                                                                                                                                                                                                                     |                                                           |  |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5     | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/09/23.                                                                                                                                                                                                 | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                                  |                                                           |  |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5     | The utility owner and contractor have no past due tax liabilities and are current with all tax obligations.                                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                    |                                                           |  |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                            |                                                           |  |  |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          |       | TOTAL SCORE                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                            | 100                                                       |  |  |

Best Practices Score  
Tuluksak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                                                       | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 7     | System Classification: Water Treatment 1<br>Primary Operator: <i>Jerah Peter</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Kristy Napoka</i><br>Certification Level: <i>Small Treated</i><br><br>Jerah Peter is certified at the correct level. Kristy Napoka holds certification but not at the correct level. John Phillip and Fritz Charles hold no certifications. | Jerah Peter has the required CEUs to renew in 2024. Kristy Napoka needs 1.0 CEU by 12/31/24 to renew in 2024. Kristy Napoka, John Phillip, and Fritz Charles need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                         | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                           | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 0     | The utility had 28 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                              | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                                                                 | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                                     | John Wallace<br>DCRA RUBA Program<br>419-4541             |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 2     | Minutes were provided for the following months during this reporting period: July, September, October, November 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                                   | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.         |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                    | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                          |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                                    | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                             |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 0     | A Department of Labor and Workforce Development database query on 12/31/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                                                                          | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                                                           |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                                                       | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                  |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 24    |                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                            |                                                           |

Best Practices Score  
Tuntutuliak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                                                                                                   | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 3           | System Classification: Water Treatment 1<br>Primary Operator: <i>Thomas Friendly</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Aaron Friendly</i><br>Certification Level: <i>Small Treated</i><br><br>Thomas Friendly and Aaron Friendly are certified but not at the correct level. Charlie Lupie holds no certification. | Thomas Friendly and Aaron Friendly have the required CEUs to renew in 2024. Thomas Friendly has passed the WT 1 exam and is applying for certification. Aaron Friendly and Charlie Lupie need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                      | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                                                       | Patrick Cleveland<br>YKHC RMW<br>543-6427                 |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 0           | The utility had 15 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                       | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                          | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                              | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                                                                                 | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                 | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.                                                                   |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                 | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                                                         |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 0           | A Department of Labor and Workforce Development database query on 01/04/23 indicated the utility owner does not have coverage.                                                                                                                                                                                                                       | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                                                                                       |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                                    | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                                                                              |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |  | 2        |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                        |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |  |          | TOTAL SCORE | 18                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                        |                                                           |  |

Best Practices Score  
Tununak  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|-------------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10          | 10    | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                                                  | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25          | 15    | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Willie Kamuck<br>YKHC RMW<br>438-6026                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10          | 10    | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5           | 5     | Inna Oliver attended QuickBooks for Rural Utilities training on 11/14/2022                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5           | 0     | Minutes were provided for the following months during this reporting period: August, October 2022. Not enough meeting minutes were submitted to RUBA for review.                                                                                                               | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15          | 10    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                    | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                        | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                           | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15          |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5           | 0     | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                              | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2           |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |             |       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  | TOTAL SCORE | 55    |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                      |                                                           |

Best Practices Score  
Twin Hills  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                 | Contact                                                   |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5           | System Classification: Small Untreated<br>Primary Operator: <i>William Page</i><br>Certification Level: <i>Small Untreated</i><br>Backup Operator: <i>James Kuku</i><br>Certification Level: <i>Operator holds no current certification</i><br>William Page holds the correct level of certification. James Kuku, Lester Henry, and Julius Henry hold no certifications. | William Page needs 0.5 CEUs by 12/31/2025 to renew in 2025. James Kuku, Lester Henry, and Julius Henry need to take and pass the SU exam. Please see the enclosed flyer with more information about certification.                   | ADEC Operator Certification Program<br>465-1139           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                     | Kenny Parker<br>BBAHC RMW<br>842-9624                     |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                      | Heather Murray<br>ADEC Drinking Water Program<br>269-7619 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Beverly Cano attended Financial Management for Rural Utilities training on 12/9/2019.                                                                                                                                                                                                                                                                                    | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  |                                                           |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                     | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                     | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    | Melody Nibeck<br>DCRA RUBA Program<br>269-5939            |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                                                     | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                           |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 0           | A Department of Labor and Workforce Development database query on 12/31/22 indicated the utility owner does not have coverage.                                                                                                                                                                                                                                           | The utility owner must obtain workers' compensation consistent with state and federal laws. Contact your assigned LGS for advice and assistance.                                                                                     |                                                           |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                               | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                              |                                                           |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                      |                                                           |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                          | 40                                                                                                                                                                                                                                   |                                                           |  |

Best Practices Score  
Tyonek  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                          | How to Improve Score                                                                                                                                                                                                                          | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 1<br>Primary Operator: <i>Samuel Bartels</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Crystal Jones</i><br>Certification Level: <i>Small Treated</i><br><br>Samuel Bartels holds the correct level of certification. Crystal Jones holds certification but not at the correct level. | Samuel Bartels needs an additional 0.1 CEU by 12/31/2024 to renew in 2024. Crystal Jones's certificate expires 12/31/2026, and needs to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                      | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                        | John Johnson<br>ADEC RMW<br>269-7605                     |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                          |                                                                                                                                                                                                                                               | James Latimer<br>ADEC Drinking Water Program<br>262-3410 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Elizabeth Standifer attended Clerk's Management for Rural Utilities training on 4/5/2022.                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                           |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                          | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.          |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has not adopted an overall realistic and balanced budget. The contractor has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                        | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                 | Lydia Mielke<br>DCRA RUBA Program<br>269-4547            |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                           | Full points have been awarded. Keep up the great work.                                                                                                                                                                                        |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 12/30/22.                                                                                                                                                                                         | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                     |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                                                             | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                                     |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                               |                                                          |
| CIP O&M Score                                                                                                         |                                 | 22                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 82                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                               |                                                          |

Best Practices Score  
Unalakleet  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                               | How to Improve Score                                                                                                                                                                                                                                                | Contact                                                 |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 3           | System Classification: Water Treatment 2<br>Primary Operator: <i>Craig Ivanoff</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Leslie Pitka</i><br>Certification Level: <i>Small Treated</i><br><br>Craig Ivanoff & Leslie Pitka hold certification but not at the correct level. Earvin Ivanoff, Joshua Dewey, and Tairin Ivanoff hold no certifications. | Craig Ivanoff and Leslie Pitka have the required CEUs to renew in 2024. Craig Ivanoff, Leslie Pitka, Earvin Ivanoff, Joshua Dewey, and Tairin Ivanoff need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                                                           | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                              | Shyler Johnson<br>NSHC RMW<br>625-1231                  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 43 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                       | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Cassandra Johnson attended Elected Officials Management for Rural Utilities training on 10/31/2022.                                                                                                                                                                                                                                                                                | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                 | Fred Broerman<br>DCRA RUBA Program<br>545-3531          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                                              | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.  |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | Balanced and realistic budgets for Fiscal Year 2022 and Fiscal Year 2023 were provided. But a review of monthly financial reports were mentioned in only three sets of meeting minutes.                                                                                                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                       |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 15          | The utility is collecting sufficient revenue to cover expenses. Small repair and replacement funds were budgeted in FY22 and FY23, but no contributions were made to them in FY22 or through August of FY23.                                                                                                                                                                       | To received additional points, the utility must establish a utility repair and replacement account and make regular contributions to be prepared for future needs.                                                                                                  |                                                         |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/11/23.                                                                                                                                                                                                                                             | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                           |                                                         |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                                                         | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                             |                                                         |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                     |                                                         |
| CIP O&M Score                                                                                                         |                                 | 10                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 70                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                     |                                                         |

Best Practices Score  
Upper Kalskag  
Spring 2023

| Category                                                                                                                                    |                                 | O&M Scoring Criteria                                                                                                                                                                                         |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                      | Contact                                         |  |
|---------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|--|
| Technical                                                                                                                                   | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |  | 10       | 10          | System Classification: No public water system<br>Primary Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No certified operator required</i><br>Certification Level: <i>N/A</i><br><br>No certified operator required | N/A                                                                                                                                                                                                       | ADEC Operator Certification Program<br>465-1139 |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |  | 7        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |  | 3        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility has no certified operators                                                                                                                                                                           |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |  | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.          | Bruce Werba<br>YKHC RMW<br>545-5063             |  |
|                                                                                                                                             |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |  | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. The community does not operate a public water system.                                                                                                                                            |                                                                                                                                                                                                           | ADEC Drinking Water Program                     |  |
|                                                                                                                                             |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                                          |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
| Managerial                                                                                                                                  | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |  | 5        | 0           | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                        | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                    | Sengbe Kemokai<br>DCRA RUBA Program<br>545-5383 |  |
|                                                                                                                                             | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |  | 5        | 5           | Minutes were provided for the following months during this reporting period: June, August, September, October, November of 2022. The water operator report was consistently included in the meeting minutes.                                                                   | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                               |                                                 |  |
|                                                                                                                                             |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | The utility owner's governing body does not meet                                                                                                                                                             |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
| Financial                                                                                                                                   | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |  | 15       | 10          | An adopted FY22 budget was provided, but review of financial reports was not indicated in the meeting minutes.                                                                                                                                                                 | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                             |                                                 |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |  | 13       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |  | 10       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |  | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                                                                    |                                                 |  |
|                                                                                                                                             |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |  | 15       |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |  | 5        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |  | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/04/23.                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                 |                                                 |  |
|                                                                                                                                             |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |  | 2        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |  | 0        |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
|                                                                                                                                             | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                              | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments. |                                                 |  |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations |                                 | 2                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                       |                                 | 0                                                                                                                                                                                                            |  |          |             |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                           |                                                 |  |
| CIP O&M Score                                                                                                                               |                                 | 15                                                                                                                                                                                                           |  |          | TOTAL SCORE | 75                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                           |                                                 |  |

Best Practices Score  
Venetie  
Spring 2023

| Category      |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                         | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                 |
|---------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Technical     | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 0           | System Classification: Small Treated<br>Primary Operator: <i>No record of a primary operator</i><br>Certification Level: <i>N/A</i><br>Backup Operator: <i>No record of a backup operator</i><br>Certification Level: <i>N/A</i><br><br>There are no primary or backup operators identified. | Primary and backup operators need to be identified and need to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                                                                                                 | ADEC Operator Certification Program<br>465-1139         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 15          | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                              | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | Duane Burnham<br>TCC RMW<br>452-8251 ext. 3266          |
|               |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 0           | The utility had 50 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                               | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Miki Smelter<br>ADEC Drinking Water Program<br>451-2231 |
|               |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
| Managerial    | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Nicole Williams attended Personnel Management for Rural Utilities training on 12/3/2018                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                | Brendan Smyth<br>DCRA RUBA Program<br>451-2744          |
|               | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, August, September 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                   | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                         |
|               |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
| Financial     | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 0           | The water and sewer utility budget submitted by the utility owner is not balanced.                                                                                                                                                                                                           | The utility owner needs to adopt a balanced and realistic budget. Contact your assigned LGS for advice and assistance.                                                                                                                                             |                                                         |
|               |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA.                                                                                                                                     | The utility needs to provide accurate monthly financial reports to RUBA and demonstrate sufficient revenue to cover the utility's expenses. Contact your assigned LGS for advice and assistance.                                                                   |                                                         |
|               |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 2           | Current coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/06/23 but the utility owner did not have coverage prior to 09/08/21.                                                                                            | Full points can be awarded after the utility owner demonstrates that a workers' compensation policy has been in place for all employees for two full years.                                                                                                        |                                                         |
|               |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                      | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                         |
|               |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
|               |                                 | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed                                                                                        |               | 0        |             |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                    |                                                         |
| CIP O&M Score |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE | 29                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                    |                                                         |

Best Practices Score  
Voznesenka  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                              | How to Improve Score                                                                                                                                                                                                                                                                                                                                          | Contact                                                  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 7           | System Classification: Water Treatment 1<br>Primary Operator: <i>Wlas Reutov</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>Zahar Reutov</i><br>Certification Level: <i>WT P</i><br><br>Wlas Reutov holds the correct level of certification. Zahar Reutov and Drasida Basargin hold certifications but not at the correct level. | Wlas Reutov needs 3.0 CEUs by 12/31/2025 to renew in 2025. Zahar Reutov needs to submit an application for WT 1 once he has accrued a year of operator experience and needs 3.0 CEUs by 12/31/2023 to renew in 2023. Drasida Basargin needs 3.0 CEUs by 12/31/2023 to renew in 2023. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                          | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                                                                                                                                        | Tanner Cote<br>ADEC RMW<br>269-7609                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 1 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                     | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                                                                                                                 | James Latimer<br>ADEC Drinking Water Program<br>262-3410 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Wlas Reutov attended Elected Officials Management for Rural Utilities training on 10/28/2019.                                                                                                                                                                                                                                                     | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                                                                                                           |                                                          |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: December 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                                                           | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                                                                                                                                                                   |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                             | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                                                                           | Jed Cox<br>DCRA RUBA Program<br>269-4614                 |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 5           | The utility is subsidized, but the subsidy is not clearly identified in the budget and financial reports; a fee schedule or collection policy is on file with RUBA.                                                                                                                                                                               | The utility needs to provide an adopted, balanced, and realistic budget that clearly identifies the subsidy. Contact your assigned LGS for advice and assistance.                                                                                                                                                                                             |                                                          |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | The utility operates on a volunteer basis.                                                                                                                                                                                                                                                                                                        | N/A                                                                                                                                                                                                                                                                                                                                                           |                                                          |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                        | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                                                                                                                                       |                                                          |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                               |                                                          |
| CIP O&M Score                                                                                                         |                                 | 17                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 77                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                               |                                                          |

Best Practices Score  
Wainwright  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                            | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>Ransom Agnasagga</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Val Harrington</i><br>Certification Level: <i>WT 3</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                                 | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>TCC RMW<br>269-7605                      |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5           | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                                                   | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                                                      | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility had more than five Monitoring and Reporting violation during the last year                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                       | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, but accurate monthly financial reports have not been documented in the meeting minutes.                                                                                                                                     | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                       | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                                          | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                         | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                    |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                                 | 37                                                                                                                                                                                                                                                                 |                                                          |  |

Best Practices Score  
Wales  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               |  | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                    | How to Improve Score                                                                                                                                                                                                                 | Contact                                               |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--|----------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               |  | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>Larry Sereadlook</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Terrin Magby</i><br>Certification Level: <i>Small Treated</i><br><br>Larry Sereadlook and Terrin Magby hold certification at the correct level. | Larry Sereadlook's ST certificate expired 12/31/22 with the requiried CEUs andneeds to renew now. Terrin Magby has the necessary CEUs to renew in 2024. Please see the enclosed flyer with more information about certification.     | ADEC Operator Certification Program<br>465-1139       |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               |  | 7        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               |  | 5        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               |  | 3        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               |  | 0        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               |  | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                               | Jalen Katchatag<br>NSHC RMW<br>434-6904               |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               |  | 15       |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               |  | 0        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               |  | 10       | 0           | The utility had 15 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                        | Mike Sharp<br>ADEC Drinking Water Program<br>451-2178 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               |  | 5        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               |  | 5        | 5           | Alexandria Okpeakluk attended QuickBooks for Rural Utilities training on 2/16/2021                                                                                                                                                                                                                      | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                  | Fred Broerman<br>DCRA RUBA Program<br>545-3531        |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               |  | 5        | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                    | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                       |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               |  | 2        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               |  | 0        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               |  | 15       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                    | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                    |                                                       |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               |  | 13       |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               |  | 10       |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               |  | 0        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               |  | 20       | 0           | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                    | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                       |                                                       |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               |  | 15       |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               |  | 5        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               |  | 0        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               |  | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/12/23.                                                                                                                                                                  | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                            |                                                       |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               |  | 2        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               |  | 0        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               |  | 5        | 0           | The utility owner is not current with state or federal tax reporting and/or payment requirements.                                                                                                                                                                                                       | To receive points, the utility must either become current on all outstanding tax liabilities and filings, or enter into a repayment agreement for outstanding liabilities and remain current on payments.                            |                                                       |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               |  | 2        |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0             |  |          |             |                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                      |                                                       |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |  |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                         | 45                                                                                                                                                                                                                                   |                                                       |  |

Best Practices Score  
White Mountain  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                           | How to Improve Score                                                                                                                                                                                          | Contact                                                  |  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 5     | System Classification: Small Treated<br>Primary Operator: <i>Steven Titus</i><br>Certification Level: <i>Small Treated</i><br>Backup Operator: <i>Edward Titus</i><br>Certification Level: <i>Operator holds no current certification</i><br><br>Steven Titus hold certification at the correct level.<br>Edward Titus holds no certification. | Steven Titus needs 1.0 CEU by 12/31/24 to renew in 2024.<br>Edward Titus needs to take and pass the ST exam. Please see the enclosed flyer with more information about certification.                         | ADEC Operator Certification Program<br>465-1139          |  |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                                       | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                        | Shyler Johnson<br>NSHC RMW<br>625-1231                   |  |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 5     | The utility had 2 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                                  | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner. | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5     | Nicholas Gray attended Financial Management for Rural Utilities training on 10/25/2021.                                                                                                                                                                                                                                                        | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                           |                                                          |  |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5     | Minutes were provided for the following months during this reporting period: June, July, August, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                                                | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                   |                                                          |  |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15    | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                                          | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                           | Fred Broerman<br>DCRA RUBA Program<br>545-3531           |  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20    | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                                            | Full points have been awarded. Keep up the great work.                                                                                                                                                        |                                                          |  |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/04/23.                                                                                                                                                                                                                | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                     |                                                          |  |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                                     | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                       |                                                          |  |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |       |                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                               |                                                          |  |  |
| CIP O&M Score                                                                                                         |                                 | 30                                                                                                                                                                                                           | SDS O&M Score |          |       | TOTAL SCORE                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                               | 90                                                       |  |  |

Best Practices Score  
Whittier  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                 | How to Improve Score                                                                                                                                                                                                    | Contact                                                        |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Small Untreated<br>Primary Operator: <i>Christopher Bender</i><br>Certification Level: <i>WD 2</i><br>Backup Operator: <i>Scott Korbe</i><br><br>Certification Level: <i>Small Untreated</i><br><br>Christopher Bender, Scott Korbe, and Kyle Kruger hold the correct level of certification. | Christopher Bender has the CEUs to renew now. Kyle Kruger has the CEUs to renew now. Scott Korbe needs 0.5 CEU by 12/31/2025 to renew in 2025. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139                |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 25          | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                             | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                  | John Johnson<br>ADEC RMW<br>269-7605                           |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                 |                                                                                                                                                                                                                         | Elizabeth Nakanishi<br>ADEC Drinking Water Program<br>269-7517 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Kristin Erchinger attended Financial Management for Rural Utilities training on 10/25/2021.                                                                                                                                                                                                                          | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                     |                                                                |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 5           | Minutes were provided for the following months during this reporting period: June, July, September, October, November 2022. The water operator report was consistently included in the meeting minutes.                                                                                                              | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes.                                                                             |                                                                |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 15          | The utility owner has adopted an overall realistic and balanced budget that identifies utility income and expenses separate from other departments, and accurate monthly financial reports have been submitted and documented in the meeting minutes.                                                                | Full points have been awarded. Continue to provide RUBA accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                     | Patricia Sullivan<br>DCRA RUBA Program<br>269-4549             |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 20          | Revenues surpass expenses and a reasonable repair and replacement account is funded in most months.                                                                                                                                                                                                                  | Full points have been awarded. Keep up the great work.                                                                                                                                                                  |                                                                |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 01/05/23.                                                                                                                                                                               | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                               |                                                                |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 5           | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                           | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                 |                                                                |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                         |                                                                |
| CIP O&M Score                                                                                                         |                                 | 40                                                                                                                                                                                                           | SDS O&M Score |          | TOTAL SCORE | 100                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                         |                                                                |

Best Practices Score  
Yakutat  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |   |  | Possible    | Score | Explanation of Score                                                                                                                                                                                                                                                                                                                   | How to Improve Score                                                                                                                                                                                                                        | Contact                                                   |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|-------------|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |   |  | 10          | 7     | System Classification: Water Treatment 1<br>Primary Operator: <i>Ronald Beattie</i><br>Certification Level: <i>WT 1</i><br>Backup Operator: <i>James Erickson</i><br>Certification Level: <i>WD P</i><br><br>Ronald Beattie holds the correct level of certification. James Erickson holds certification but not at the correct level. | Ronald Beattie needs 3.0 CEUs by 12/31/25 to renew in 2025. James Erickson obtained WT P certification in January 2023 and has the required CEUs to renew in 2024. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program<br>465-1139           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |   |  | 7           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |   |  | 3           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |   |  | 25          | 25    | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.                                                                                                                                                               | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.                                                                                      | John Johnson<br>ADEC RMW<br>269-7605                      |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |   |  | 10          | 5     | The utility had 5 Drinking Water Monitoring and Reporting violations in 2022.                                                                                                                                                                                                                                                          | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.                               | Jamie Bjorkman<br>ADEC Drinking Water Program<br>262-3423 |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |   |  | 5           | 0     | No one associated with the utility has attended a RUBA training in the past five years.                                                                                                                                                                                                                                                | RUBA provides free training several times per year. Contact your RUBA specialist for more information.                                                                                                                                      | Iura Leahu<br>DCRA RUBA Program<br>465-4814               |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |   |  | 5           | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | The governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance.        |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |   |  | 15          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with an adopted, realistic, and balanced budget; monthly financial reports that are submitted to the council and documented in meeting minutes. Contact your assigned LGS for advice and assistance.                           |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |   |  | 13          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |   |  | 10          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |   |  | 20          | 0     | Documentation was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                   | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                              |                                                           |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |   |  | 15          |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |   |  | 5           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |   |  | 5           | 5     | Continuous coverage for the utility owner was confirmed by the Alaska Municipal League Joint Insurance Association on 01/05/23.                                                                                                                                                                                                        | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                   |                                                           |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |   |  | 0           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |   |  | 5           | 5     | The utility owner has no past due tax liabilities and is current with all tax obligations.                                                                                                                                                                                                                                             | Full points have been awarded. Continue to submit timely reports and payments to maintain these points.                                                                                                                                     |                                                           |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |   |  | 2           |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 |                                                                                                                                                                                                              | 0 |  |             |       |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            |   |  | TOTAL SCORE | 47    |                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                             |                                                           |