

Best Practices Score  
Point Hope  
Spring 2023

| Category                                                                                                              |                                 | O&M Scoring Criteria                                                                                                                                                                                         |               | Possible | Score       | Explanation of Score                                                                                                                                                                                                                                                                                                                                                     | How to Improve Score                                                                                                                                                                                                                                               | Contact                                                  |  |
|-----------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|--|
| Technical                                                                                                             | Operator Certification          | Utility has more than one operator certified to the level of the water system                                                                                                                                |               | 10       | 10          | System Classification: Water Treatment 2<br>Primary Operator: <i>Andrew Roby</i><br>Certification Level: <i>WT 3</i><br>Backup Operator: <i>Jacob Tucker</i><br>Certification Level: <i>WT 2</i><br>The North Slope Borough contracts with a private entity to provide water system operations. The community has more than one operator certified at the correct level. | The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.                                                                                  | ADEC Operator Certification Program<br>465-1139          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution                                                  |               | 7        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator                                                                 |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has one or more operators certified at some level in water treatment or distribution                                                                                                                 |               | 3        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no certified operators                                                                                                                                                                           |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Preventive Maintenance Plan     | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified                                                                  |               | 25       | 0           | The utility is not performing the required maintenance or isn't keeping records of maintenance.                                                                                                                                                                                                                                                                          | To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.                                                                   | John Johnson<br>RMW<br>269-7605                          |  |
|                                                                                                                       |                                 | Utility has a written PM plan; performance of PM and record keeping are not consistent                                                                                                                       |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no PM plan or performs no PM                                                                                                                                                                     |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Compliance                      | Utility had no Monitoring and Reporting violations during the past year                                                                                                                                      |               | 10       | 10          | The utility had 0 Drinking Water Monitoring and Reporting violations in 2022. Excellent job - keep up the good work!                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                    | Jenny Roberts<br>ADEC Drinking Water Program<br>451-2137 |  |
|                                                                                                                       |                                 | Utility had up to five Monitoring and Reporting violation during the past year                                                                                                                               |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility had more than five Monitoring and Reporting violation during the last year                                    |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Managerial                                                                                                            | Utility Management Training     | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years |               | 5        | 5           | Brett Goodwin attended Personnel Management for Rural Utilities training on 1/27/2020.                                                                                                                                                                                                                                                                                   | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.                                                                                                                                                |                                                          |  |
|                                                                                                                       | Meetings of the Governing Body  | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator                                                    |               | 5        | 2           | Minutes were provided for the following months during this reporting period: June, July, August, September, October 2022. The water operator report was not consistently included in the meeting minutes.                                                                                                                                                                | To receive additional points, the governing body needs to meet according to local ordinance/bylaw and submit meeting minutes to RUBA. The minutes should document that a report to the council was made by the operator. Contact your assigned LGS for assistance. |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements                                                                                                    |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | The utility owner's governing body does not meet                                                                                                                                                             |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Financial                                                                                                             | Budget                          | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body             |               | 15       | 10          | The utility owner has adopted an overall realistic and balanced budget, but accurate monthly financial reports have not been submitted and documented in the meeting minutes.                                                                                                                                                                                            | Provide RUBA with accurate monthly financial reports that are submitted to the council and documented in the meeting minutes.                                                                                                                                      | Andy Durny<br>DCRA RUBA Program<br>269-4132              |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not                                                                                                              |               | 13       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented                                                                                                                |               | 10       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility owner and the Utility have not adopted a budget                                                                                                                                                      |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Revenue                         | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account                                                                     |               | 20       | 0           | RUBA could not determine from the utility's financial reports whether the utility owner is following a fee schedule or collection policy.                                                                                                                                                                                                                                | Provide RUBA with accurate monthly financial reports that shows the utility is collecting sufficient revenue to cover operating expenses. Contact your assigned LGS for advice and assistance.                                                                     |                                                          |  |
|                                                                                                                       |                                 | Utility is collecting revenue sufficient to cover expenses                                                                                                                                                   |               | 15       |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has a fee schedule and a collection policy that is followed                                                                                                                                          |               | 5        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no fee structure or collection policy                                                                                                                                                            |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place                                                                                    |               | 5        | 5           | Continuous coverage for the utility owner and contractor was confirmed by a Department of Labor and Workforce Development database query on 01/10/23.                                                                                                                                                                                                                    | Full points have been awarded. The utility owner must maintain an active workers' compensation policy to continue receiving these points.                                                                                                                          |                                                          |  |
|                                                                                                                       |                                 | Utility has a current worker's compensation policy in place for all employees                                                                                                                                |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       |                                 | Utility has no worker's compensation policy                                                                                                                                                                  |               | 0        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
|                                                                                                                       | Payroll Liability Compliance    | Utility has no past due tax liabilities and is current with all tax obligations                                                                                                                              |               | 5        | 0           | Authorization to request federal tax information was not provided to RUBA during this reporting period.                                                                                                                                                                                                                                                                  | Please provide RUBA with a completed authorization form to confirm compliance with tax liabilities                                                                                                                                                                 |                                                          |  |
|                                                                                                                       |                                 | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations                                                                  |               | 2        |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed |                                 | 0                                                                                                                                                                                                            |               |          |             |                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                    |                                                          |  |
| CIP O&M Score                                                                                                         |                                 | 0                                                                                                                                                                                                            | SDS O&M Score |          | TOTAL SCORE |                                                                                                                                                                                                                                                                                                                                                                          | 42                                                                                                                                                                                                                                                                 |                                                          |  |